COT 6410 Fall 2010

Generally useful information.

- The notation $z = \langle x, y \rangle$ denotes the pairing function with inverses $x = \langle z \rangle_1$ and $y = \langle z \rangle_2$.
- The minimization notation μ y [P(...,y)] means the least y (starting at 0) such that P(...,y) is true. The bounded minimization (acceptable in primitive recursive functions) notation μ y (u≤y≤v) [P(...,y)] means the least y (starting at u and ending at v) such that P(...,y) is true. Unlike the text, I find it convenient to define μ y (u≤y≤v) [P(...,y)] to be v+1, when no y satisfies this bounded minimization.
- The tilde symbol, ~, means the complement. Thus, set ~S is the set complement of set S, and predicate ~P(x) is the logical complement of predicate P(x).
- A function **P** is a predicate if it is a logical function that returns either 1 (true) or 0 (false). Thus, **P**(x) means **P** evaluates to true on x, but we can also take advantage of the fact that true is 1 and false is 0 in formulas like $y \times P(x)$, which would evaluate to either y (if **P**(x)) or 0 (if ~**P**(x)).
- A set S is recursive if S has a total recursive characteristic function χ_S, such that x ∈ S ⇔ χ_S(x). Note χ_S is a predicate. Thus, it evaluates to 0 (false), if x ∉ S.
- When I say a set S is re, unless I explicitly say otherwise, you may assume any of the following equivalent characterizations:
 - 1. S is either empty or the range of a total recursive function f_s .
 - 2. S is the domain of a partial recursive function g_s .
- If I say a function g is partially computable, then there is an index g (I know that's overloading, but that's okay as long as we understand each other), such that Φ_g(x) = Φ(x, g) = g(x). Here Φ is a universal partially recursive function. Moreover, there is a primitive recursive function STP, such that STP(x, g, t) is 1 (true), just in case g, started on x, halts in t or fewer steps. STP(x, g, t) is 0 (false), otherwise. Finally, there is another primitive recursive function VALUE, such that VALUE(x, g, t) is g(x), whenever STP(x, g, t).
 VALUE(x, g, t) is defined but meaningless if ~STP(x, g, t).
- The notation $f(x)\downarrow$ means that f converges when computing with input x, but we don't care about the value produced. In effect, this just means that x is in the domain of f.
- The notation **f**(**x**)↑ means **f** diverges when computing with input **x**. In effect, this just means that **x** is **not** in the domain of **f**.
- The **Halting Problem** for any effective computational system is the problem to determine of an arbitrary effective procedure **f** and input **x**, whether or not $f(x)\downarrow$. The set of all such pairs, K_0 , is a classic re non-recursive one.
- The **Uniform Halting Problem** is the problem to determine of an arbitrary effective procedure **f**, whether or not **f** is an algorithm (halts on all input). The set of all such function indices is a classic non re one.
- A ≤_m B (A many-one reduces to B) means that there exists a total recursive function f such that x ∈ A ⇔ f(x) ∈ B. If A ≤_m B and B ≤_m A then we say that A ≡_m B (A is many-one equivalent to B). If the reducing function is 1-1, then we say A ≤₁ B (A one-one reduces to B) and A ≡₁ B (A is one-one equivalent to B).

CO	OT 6410	Fall 2010	Sample Midterm#1	Name:	SAMPLE
1.	 Choosing from among (REC) recursive, (RE) re non-recursive, (coRE) co-re non-recursive, (NR) non-re/non-co-re, categorize each of the sets in a) through d). Justify your answer by showing some minimal quantification of some known recursive predicate. 				
	a.) { f d	lomain(f) is fin	iite }		
	b.) { f d	lomain(f) is en	npty }		
	c.) { <f,x< th=""><th>> f(x) converg</th><th>ges in at most 20 steps }</th><th></th><th></th></f,x<>	> f(x) converg	ges in at most 20 steps }		
	d.) { f d	omain(f) convo	erges in at most 20 steps for some inpu	it x }	

2. Let set **A** be recursive, **B** be re non-recursive and **C** be non-re. Choosing from among **(REC)** recursive, **(RE)** re non-recursive, **(NR)** non-re, categorize the set **D** in each of a) through d) by listing all possible categories. No justification is required.

a.) $\mathbf{D} = \mathbf{C}$	
b.) $D \subseteq A \cup C$	
c.) $\mathbf{D} = \sim \mathbf{B}$	
$\mathbf{d.)} \mathbf{D} = \mathbf{B} - \mathbf{A}$	

3. Prove that the Halting Problem (the set $HALT = K_0 = L_u$) is not recursive (decidable) within any formal model of computation. (Hint: A diagonalization proof is required here.)

4. Using reduction from the known undecidable HasZero, $HZ = \{ f | \exists x f(x) = 0 \}$, show the non-recursiveness (undecidability) of the problem to decide if an arbitrary primitive recursive function g has the property IsZero, $Z = \{ f | \forall x f(x) = 0 \}$,. Hint: there is a very simple construction that uses STP to do this. Just giving that construction is not sufficient; you must also explain why it satisfies the desired properties of the reduction.

.

- 5. Define RANGE_ALL = ($\mathbf{f} | \operatorname{range}(\mathbf{f}) = \aleph$ }.
- **a.**) Show some minimal quantification of some known recursive predicate that provides an upper bound for the complexity of this set. (Hint: Look at **c.**) and **d.**) to get a clue as to what this must be.)
- **b.)** Use Rice's Theorem to prove that **RANGE_ALL** is undecidable.

c.) Show that TOTAL \leq_m RANGE_ALL, where TOTAL = { f | $\forall y \varphi_f(y) \downarrow$ }.

d.) Show that **RANGE_ALL** \leq_m **TOTAL**.

e.) From a.) through d.) what can you conclude about the complexity of RANGE_ALL?

- 6. This is a simple question concerning Rice's Theorem.
- a.) State the strong form of Rice's Theorem. Cover all conditions for it to apply; don't skimp on details.

- **b.)** Describe a set of partial recursive functions whose membership cannot be shown undecidable through Rice's Theorem. What condition is violated by your example?
- 7. Using the definition that S is recursively enumerable iff S is either empty or the range of some algorithm f_S (total recursive function), prove that if both S and its complement ~S are recursively enumerable then S is decidable. To get full credit, you must show the characteristic function for S, χ_S , in all cases. Be careful to handle the (two) extreme cases. Hint: This is not an empty suggestion.