

1. Let set **A** be recursive, **B** be re non-recursive and **C** be non-re. Choosing from among **(REC) recursive**, **(RE) re non-recursive**, **(NR) non-re**, categorize the set **D** in each of a) through d) by listing **all** possible categories. No justification is required.

- a.) $D = \sim C$ RE, NR
- b.) $D \subseteq (A \cup C)$ REC, RE, NR
- c.) $D = \sim B$ NR
- d.) $D = B - A$ REC, RE

2. Choosing from among **(D) decidable**, **(U) undecidable**, **(?) unknown**, categorize each of the following decision problems. No proofs are required.

Problem / Language Class	Regular	Context Free	Context Sensitive
$L = \Sigma^* ?$	<i>D</i>	<i>U</i>	<i>U</i>
$L = \phi ?$	<i>D</i>	<i>D</i>	<i>U</i>
$L = L^2 ?$	<i>D</i>	<i>U</i>	<i>U</i>
$x \in L^2, \text{ for arbitrary } x ?$	<i>D</i>	<i>D</i>	<i>D</i>

3. Use **PCP** to show the undecidability of the problem to determine if the intersection of two context free languages is non-empty. That is, show how to create two grammars G_A and G_B based on some instance $P = \langle \langle x_1, x_2, \dots, x_n \rangle, \langle y_1, y_2, \dots, y_n \rangle \rangle$ of **PCP**, such that $L(G_A) \cap L(G_B) \neq \phi$ iff P has a solution. Assume that P is over the alphabet Σ . You should discuss what languages your grammars produce and why this is relevant, but no formal proof is required.

$$G_A = (\{ A \}, \Sigma \cup \{ [i] \mid 1 \leq i \leq n \}, A, P_A) \qquad G_B = (\{ B \}, \Sigma \cup \{ [i] \mid 1 \leq i \leq n \}, B, P_B)$$

$$P_A : A \rightarrow x_i A [i] \mid x_i [i] \qquad P_B : A \rightarrow y_i B [i] \mid y_i [i]$$

$$L(G_A) = \{ x_{i_1} x_{i_2} \dots x_{i_p} [i_p] \dots [i_2] [i_1] \mid p \geq 1, 1 \leq i_t \leq n, 1 \leq t \leq p \}$$

$$L(G_B) = \{ y_{j_1} y_{j_2} \dots y_{j_q} [j_q] \dots [j_2] [j_1] \mid q \geq 1, 1 \leq j_u \leq n, 1 \leq u \leq q \}$$

$$L(G_A) \cap L(G_B) = \{ w [k_r] \dots [k_2] [k_1] \mid r \geq 1, 1 \leq k_v \leq n, 1 \leq v \leq r \}, \text{ where}$$

$$w = x_{k_1} x_{k_2} \dots x_{k_r} = y_{k_1} y_{k_2} \dots y_{k_r}$$

If $L(G_A) \cap L(G_B) \neq \phi$ then such a w exists and thus k_1, k_2, \dots, k_r is a solution to this instance of **PCP**. This shows that a decision procedure for the non-emptiness of the intersection of CFLs implies a decision procedure for **PCP**, which we have already shown is undecidable. Hence, the non-emptiness of the intersection of CFLs is undecidable. Q.E.D.

4. Consider the set of indices $\text{CONSTANT} = \{ f \mid \exists K \forall y [\varphi_f(y) = K] \}$. Use Rice's Theorem to show that CONSTANT is not recursive. Hint: There are two properties that must be demonstrated.

First, show CONSTANT is non-trivial.

$Z(x) = 0$, which can be implemented as the TM R , is in CONSTANT

$S(x) = x+1$, which can be implemented by the TM C_1R , is not in CONSTANT

Thus, CONSTANT is non-trivial

Second, let f, g be two arbitrary computable functions with the same I/O behavior.

That is, $\forall x$, if $f(x)$ is defined, then $f(x) = g(x)$; otherwise both diverge, i.e., $f(x) \uparrow$ and $g(x) \uparrow$

Now, $f \in \text{CONSTANT}$

$\Leftrightarrow \exists K \forall x [f(x) = K]$ by definition of CONSTANT

$\Leftrightarrow \forall x [g(x) = C]$ where C is the instance of K above, since $\forall x [f(x) = g(x)]$

$\Leftrightarrow \exists K \forall x [g(x) = K]$ from above

$\Leftrightarrow g \in \text{CONSTANT}$ by definition of CONSTANT

Since CONSTANT meets both conditions of Rice's Theorem, it is undecidable. Q.E.D.

5. Show that $\text{CONSTANT} \equiv_m \text{TOT}$, where $\text{TOT} = \{ f \mid \forall y \varphi_f(y) \downarrow \}$.

$\text{CONSTANT} \leq_m \text{TOT}$

Let f be an arbitrary effective procedure.

Define g_f by

$g_f(0) = f(0)$

$g_f(y+1) = f(y+1) + \mu z [f(y+1) = f(y)]$

Now, if $f \in \text{CONSTANT}$ then $\forall y [f(y) \downarrow]$ and $[f(y+1) = f(y)]$.

Under this circumstance, $\mu z [f(y+1) = f(y)]$ is 0 for all y and $g_f(y) = f(y)$ for all y .

Clearly, then $g_f \in \text{TOT}$

If, however, $f \notin \text{CONSTANT}$ then $\exists y [f(y+1) \neq f(y)]$ and thus, $\exists y g_f(y) \uparrow$.

Choose the least y meeting this condition.

If $f(y) \uparrow$ then $g_f(y) \uparrow$ since $f(y)$ is in $g_f(y)$'s definition (the 1st term).

If $f(y) \downarrow$ but $[f(y+1) \neq f(y)]$ then $g_f(y) \uparrow$ since $\mu z [f(y+1) = f(y)] \uparrow$ (the 2nd term).

Clearly, then $g_f \notin \text{TOT}$

Combining these, $f \in \text{CONSTANT} \Leftrightarrow g_f \in \text{TOT}$ and thus $\text{CONSTANT} \leq_m \text{TOT}$

$\text{TOT} \leq_m \text{CONSTANT}$

Let f be an arbitrary effective procedure.

Define g_f by

$g_f(y) = f(y) - f(y)$

Now, if $f \in \text{TOT}$ then $\forall y [f(y) \downarrow]$ and thus $\forall y g_f(y) = 0$. Clearly, then $g_f \in \text{CONSTANT}$

If, however, $f \notin \text{TOT}$ then $\exists y [f(y) \uparrow]$ and thus, $\exists y [g_f(y) \uparrow]$. Clearly, then $g_f \notin \text{CONSTANT}$

CONSTANT

Combining these, $f \in \text{TOT} \Leftrightarrow g_f \in \text{CONSTANT}$ and thus $\text{TOT} \leq_m \text{CONSTANT}$

Hence, $\text{CONSTANT} \equiv_m \text{TOT}$. Q.E.D.

6. Why does Rice's Theorem have nothing to say about the following? Explain by showing some condition of Rice's Theorem that is not met by the stated property.

AT-LEAST-LINEAR = $\{ f \mid \forall y \varphi_f(y) \text{ converges in no fewer than } y \text{ steps} \}$.

We can deny the 2nd condition of Rice's Theorem since

Z, where $Z(x) = 0$, implemented by the TM R converges in one step no matter what x is and hence is not in AT-LEAST-LINEAR

Z', defined by the TM $\mathcal{R}\mathcal{L}$ R, is in AT-LEAST-LINEAR

However, $\forall x [Z(x) = Z'(x)]$, so they have the same I/O behavior and yet one is in and the other is out of AT-LEAST-LINEAR, denying the 2nd condition of Rice's Theorem

7. The trace language of a computational device like a Turing Machine is a language of the form

Trace = $\{ C_1\#C_2\# \dots C_n\# \mid C_i \Rightarrow C_{i+1}, 1 \leq i < n \}$

Trace is Context Sensitive, non-Context Free. Actually, a trace language typically has every other configuration word reversed, but the concept is the same. Oddly, the complement of such a trace is Context Free. Explain what makes its complement a CFL. In other words, describe the characteristics of this complement and why these characteristics are amenable to a CFG description.

The complement of a trace needs to include strings that either do not look like a trace (that's easy) or look like one, but have one or more errors. By one or more errors, we just mean that there is a pair $C_j\#C_{j+1}\#$ where it is not the case that $C_j \Rightarrow C_{j+1}$. A PDA can guess which configuration starts this pair, push that configuration into its stack and check that the next one is in error (of course, this generally means one element of the pair is reversed). Such checking is within the capabilities of a PDA.

8. We demonstrated a proof that the context sensitive languages are not closed under homomorphism, To start, we assumed $G = (N, \Sigma, S, P)$ is an arbitrary Phrase Structured Grammar, with N its set of non-terminals, Σ its terminal alphabet, S its starting non-terminal and P its productions (rules). Since G is a PSG, it can have length increasing, length preserving and length decreasing rules. We wished to convert G to a CSG, $G' = (N', \Sigma', S', P')$ where there are no rules that are length decreasing (since a CSG cannot have these). We developed a way to pad the length decreasing rules from G and then a homomorphism that gets rid of these padding characters. Define G' and the homomorphism h that we discussed in class and then briefly discuss why this new grammar and homomorphism combine so $h(L(G')) = L(G)$, thereby showing that all re sets are the homomorphic images of CSLs.

Define $N' = N \cup \{S', D\}$, where D and S' are new symbols;

$\Sigma' = \Sigma \cup \{\$, \}$, where \$ is a new symbol;

P' contains

$S' \rightarrow S\$$ is in P'

If $\alpha \rightarrow \beta$ is in P and $|\alpha| \leq |\beta|$, then $\alpha \rightarrow \beta$ is in P'

If $\alpha \rightarrow \beta$ is in P and $|\alpha| > |\beta|$, then $\alpha \rightarrow \beta D^k$ is in P', where $k = |\alpha| - |\beta|$

$Dx \rightarrow xD$ is in P', for all $x \in N \cup \Sigma$

$D\$ \rightarrow S\$$ is in P'

It is clear that these rules are all length increasing or length preserving and hence G' is a CSG.

$L(G') = \{w\$^j \mid w \in L(G) \text{ and } j \text{ is some integer } > 0 \}$

Define the homomorphism h by

$h(a) = a$ for all $a \in \Sigma$

$h(\$) = \lambda$ (the string of length 0)

$h(L(G')) = \{ w \mid w \in L(G) \} = L(G)$

This completes our constructive justification.

9. We described the proof that 3SAT is polynomial reducible to Subset-Sum.

a.) Describe **Subset-Sum**

Let n_1, n_2, \dots, n_k, G be a set of k positive whole numbers and G be a goal number. The decision problem is to determine if there is a subset $n_{i1}, n_{i2}, \dots, n_{ij}$ of the original set that sums to G .

b.) Show that **Subset-Sum** is in NP

Let n_1, n_2, \dots, n_k, G be an instance of SubsetSum and let $n_{i1}, n_{i2}, \dots, n_{ij}$ be a proposed subset. We merely need to add these j numbers together and check that they sum to G . If so, we verify the proposed solution; else we reject it. That process is linear and hence there is a polynomial time verifier, and so SubsetSum is in NP.

c.) Assuming a 3SAT expression $(a + \sim b + c) (\sim a + b + \sim c)$, fill in the upper right part of the reduction from 3SAT to **Subset-Sum**.

	a	b	c	$a + \sim b + c$	$\sim a + b + \sim c$
a	1			1	
$\sim a$	1				1
b		1			1
$\sim b$		1		1	
c			1	1	
$\sim c$			1		1
C1				1	
C1'				1	
C2					1
C2'					1
	1	1	1	3	3

d.) List some subset of the numbers above (each associated with a row) that sums to 1 1 1 3 3. Indicate what the related truth values are for **a**, **b** and **c**.

a = T; b = T; c = T

a 1 0 0 1 0
b 0 1 0 0 1
c 0 0 1 1 0
C1 0 0 0 1 0
C2 0 0 0 0 1
C2' 0 0 0 0 1
SUM 1 1 1 3 3

10. **Partition** refers to the decision problem as to whether some set of positive integers S can be partitioned into two disjoint subsets whose elements have equal sums. **Subset-Sum** refers to the decision problem as to whether there is a subset of some set of positive integers S that precisely sums to some goal number G .

a.) Show that **Partition** \leq_p **Subset-Sum**.

Look at notes

b.) Show that **Subset-Sum** \leq_p **Partition**.

Look at notes

14. Present a gadget used in the reduction of **3-SAT** to some graph theoretic problem where the gadget guarantees that each variable is assigned either **True** or **False**, but not both. Of course, you must tell me what graph theoretic problem is being shown **NP-Complete** and you must explain why the gadget works.

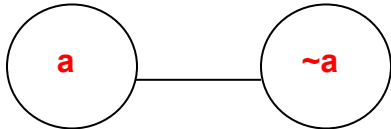
Vertex Cover

Must Cover each Edge

Set goal to min vertices

Must choose one but not both are needed

This translates to choosing a or ~a



3-Color

Cannot choose B for either a or ~a

So one must be T and other F

