

- The notation $z = \langle x, y \rangle$ denotes the pairing function with inverses $x = \langle z \rangle_1$ and $y = \langle z \rangle_2$.
- The minimization notation $\mu y [P(\dots, y)]$ means the least y (starting at 0) such that $P(\dots, y)$ is true. The bounded minimization (acceptable in primitive recursive functions) notation $\mu y (u \leq y \leq v) [P(\dots, y)]$ means the least y (starting at u and ending at v) such that $P(\dots, y)$ is true. I define $\mu y (u \leq y \leq v) [P(\dots, y)]$ to be $v+1$, when no y satisfies this bounded minimization.
- The tilde symbol, \sim , means the complement. Thus, set $\sim S$ is the set complement of set S , and the predicate $\sim P(x)$ is the logical complement of predicate $P(x)$.
- A function P is a predicate if it is a logical function that returns either **1 (true)** or **0 (false)**. Thus, $P(x)$ means P evaluates to **true** on x , but we can also take advantage of the fact that **true** is **1** and **false** is **0** in formulas like $y \times P(x)$, which would evaluate to either y (if $P(x)$) or 0 (if $\sim P(x)$).
- A set S is recursive if S has a total recursive characteristic function χ_S , such that $x \in S \Leftrightarrow \chi_S(x)$. Note χ_S is a total predicate. Thus, it evaluates to **0 (false)**, if $x \notin S$.
- When I say a set S is re, unless I explicitly say otherwise, you may assume any of the following equivalent characterizations:
 1. S is either empty or the range of a total recursive function f_S .
 2. S is the domain of a partial recursive function g_S .
- If I say a function g is partially computable, then there is an index g (we tend to overload the index as the function name), such that $\Phi_g(x) = \Phi(x, g) = g(x)$. Here Φ is a universal partially recursive function.

Moreover, there is a primitive recursive function **STP**, such that **STP(g, x, t)** is **1 (true)**, just in case g , started on x , halts in t or fewer steps. **STP(g, x, t)** is **0 (false)**, otherwise.

Finally, there is another primitive recursive function **VALUE**, such that **VALUE(g, x, t)** is $g(x)$, whenever **STP(g, x, t)**. **VALUE(g, x, t)** is defined but meaningless if $\sim \text{STP}(g, x, t)$.
- The notation $f(x) \downarrow$ means that f converges when computing with input x ($x \in \text{Dom}(f)$). The notation $f(x) \uparrow$ means f diverges when computing with input x ($x \notin \text{Dom}(f)$).
- The **Halting Problem** for any effective computational system is the problem to determine of an arbitrary effective procedure f and input x , whether or not $f(x) \downarrow$. The set of all such pairs, K_0 , is a classic re non-recursive set. K_0 is also known as L_u , the universal language. The related set, K , is the set of all effective procedures f such that $f(f) \downarrow$ or more precisely $\Phi_f(f)$.
- The **Uniform Halting Problem** is the problem to determine of an arbitrary effective procedure f , whether or not f is an algorithm (halts on all input). This set, **TOTAL**, is a classic non re set.
- When I ask for a reduction of one set of indices to another, the formal rule is that you must produce a function that takes an index of one function and produces the index of another having whatever property you require. However, I allow some laxness here. You can start with a function, given its index, and produce another function, knowing it will have a computable index. For example, given f , a unary function, I might define G_f , another unary function, by $G_f(0) = f(0)$; $G_f(y+1) = G_f(y) + f(y+1)$
This would get $G_f(x)$ as the sum of the values of $f(0)+f(1)+\dots+f(x)$.
- The **Post Correspondence Problem (PCP)** is known to be undecidable. This problem is characterized by instances that are described by a number $n > 0$ and two n -ary sequences of non-empty words $\langle x_1, x_2, \dots, x_n \rangle$, $\langle y_1, y_2, \dots, y_n \rangle$. The question is whether or not there exists a sequence, i_1, i_2, \dots, i_k , such that $1 \leq i_j \leq n$, $1 \leq j \leq k$, and $x_{i_1} x_{i_2} \dots x_{i_k} = y_{i_1} y_{i_2} \dots y_{i_k}$

- When I ask you to show one set of indices, A , is many-one reducible to another, B , denoted $A \leq_m B$, you must demonstrate a total computable function f , such that $x \in A \Leftrightarrow f(x) \in B$. The stronger relationship is that A and B are many-one equivalent, $A \equiv_m B$, requires that you show $A \leq_m B$ and $B \leq_m A$. The related notion of one-one reducibility and equivalence require that the reducing function, f above, be 1-1. The notation just replaces the m with a 1 , as in $A \leq_1 B$.
- The related notion of polynomial reducibility and equivalence require that the reducing function, f above, be computable in polynomial time in the size of the instance of the element being checked. The notation just replaces the m with a p , as in $A \leq_p B$ and $A \equiv_p B$.
- A decision problem P is in P if it can be solved by a deterministic Turing machine in polynomial time.
- A function problem F is in FP if it can be solved by a deterministic Turing machine in polynomial time.
- A decision problem P is in NP if it can be solved by a non-deterministic Turing machine in polynomial time. Alternatively, P is in NP if a proposed proof of any instance having answer yes can be verified by a deterministic Turing machine in polynomial time.
- A function problem F is in FNP if a proposed solution to it can be verified by a deterministic Turing machine in polynomial time. The proposed solution must be at most polynomial larger than the input.
- A decision problem P is **NP-complete** if and only if it is in NP and, for any problem Q in NP , it is the case that $Q \leq_p P$.
- A function problem P is **NP-hard** if and only if there is an **NP-complete** problem Q that is polynomial time Turing-reducible to P . We often limit our domain of consideration to decision problems when talking of **NP-hard**, but the concept also applies to function problems.
- A function problem P is **NP-easy** if and only if it is polynomial time Turing-reducible to some NP problem Q .
- A function problem P is **NP-equivalent** if and only if it is both **NP-hard** and **NP-easy**.

1. Let set **A** be recursive, **B** be re non-recursive and **C** be non-re. Choosing from among **(REC) recursive, (RE) re non-recursive, (NR) non-re**, categorize the set **D** in each of a) through d) by listing **all** possible categories. No justification is required.

- a.) $D = \sim C$ _____
- b.) $D \subseteq (AUC)$ _____
- c.) $D = \sim B$ _____
- d.) $D = B - A$ _____

2. Choosing from among **(D) decidable, (U) undecidable, (?) unknown**, categorize each of the following decision problems. No proofs are required.

Problem / Language Class	Regular	Context Free	Context Sensitive
$L = \Sigma^*$?			
$L = \phi$?			
$L = L^2$?			
$x \in L^2$, for arbitrary x ?			

3. Use **PCP** to show the undecidability of the problem to determine if the intersection of two context free languages is non-empty. That is, show how to create two grammars G_A and G_B based on some instance $P = \langle \langle x_1, x_2, \dots, x_n \rangle, \langle y_1, y_2, \dots, y_n \rangle \rangle$ of **PCP**, such that $L(G_A) \cap L(G_B) \neq \phi$ iff P has a solution. Assume that P is over the alphabet Σ . You should discuss what languages your grammars produce and why this is relevant, but no formal proof is required.

4. Consider the set of indices $\mathbf{CONSTANT} = \{ f \mid \exists K \forall y [\varphi_f(y) = K] \}$. Use Rice's Theorem to show that $\mathbf{CONSTANT}$ is not recursive. Hint: There are two properties that must be demonstrated.

5. Show that $\mathbf{CONSTANT} \equiv_m \mathbf{TOT}$, where $\mathbf{TOT} = \{ f \mid \forall y \varphi_f(y) \downarrow \}$.

6. Why does Rice's Theorem have nothing to say about the following? Explain by showing some condition of Rice's Theorem that is not met by the stated property.

AT-LEAST-LINEAR = $\{ f \mid \forall y \varphi_f(y) \text{ converges in no fewer than } y \text{ steps} \}$.

7. The trace language of a computational device like a Turing Machine is a language of the form

Trace = $\{ C_1\#C_2\# \dots C_n\# \mid C_i \Rightarrow C_{i+1}, 1 \leq i < n \}$

Trace is Context Sensitive, non-Context Free. Actually, a trace language typically has every other configuration word reversed, but the concept is the same. Oddly, the complement of such a trace is Context Free. Explain what makes its complement a **CFL**. In other words, describe the characteristics of this complement and why these characteristics are amenable to a **CFG** description.

8. We demonstrated a proof that the context sensitive languages are not closed under homomorphism, To start, we assumed $G = (N, \Sigma, S, P)$ is an arbitrary Phrase Structured Grammar, with N its set of non-terminals, Σ its terminal alphabet, S its starting non-terminal and P its productions (rules). Since G is a PSG, it can have length increasing, length preserving and length decreasing rules. We wished to convert G to a CSG, $G' = (N', \Sigma', S', P')$ where there are no rules that are length decreasing (since a CSG cannot have these). We developed a way to pad the length decreasing rules from G and then a homomorphism that gets rid of these padding characters. Define G' and the homomorphism h that we discussed in class and then briefly discuss why this new grammar and homomorphism combine so $h(L(G')) = L(G)$, thereby showing that all re sets are the homomorphic images of CSLs.

9. We described the proof that 3SAT is polynomial reducible to Subset-Sum.

a.) Describe **Subset-Sum**

b.) Show that **Subset-Sum** is in NP

c.) Assuming a 3SAT expression $(a + \sim b + c) (\sim a + b + \sim c)$, fill in the upper right part of the reduction from 3SAT to **Subset-Sum**.

	a	b	c	$a + \sim b + c$	$\sim a + b + \sim c$
a					
$\sim a$					
b					
$\sim b$					
c					
$\sim c$					
C1					
C1'					
C2					
C2'					

d.) List some subset of the numbers above (each associated with a row) that sums to 1 1 1 3 3. Indicate what the related truth values are for a, b and c.

10. **Partition** refers to the decision problem as to whether some set of positive integers S can be partitioned into two disjoint subsets whose elements have equal sums. **Subset-Sum** refers to the decision problem as to whether there is a subset of some set of positive integers S that precisely sums to some goal number G.

a.) Show that **Partition** \leq_p **Subset-Sum**.

b.) Show that **Subset-Sum** \leq_p **Partition**.

11. Consider the decision problem asking if there is a coloring of a graph with at most k colors, and the optimization version that asks what is the minimum coloring number of a graph. You can reduce in both directions. So, do that. Make sure you carefully explain for each direction just what it is that you are proving.

12. **QSAT** is the decision problem to determine if an arbitrary fully quantified Boolean expression is true. Note: **SAT** only uses existential, whereas **QSAT** can have universal qualifiers as well so it includes checking for Tautologies as well as testing Satisfiability. What can you say about the complexity of **QSAT** (is it in P, NP, NP-Complete, NP-Hard)? Justify your conclusion.

13. Consider the following set of independent tasks with associated task times:

(T1,7), (T2,6), (T3,2), (T4,5), (T5,6), (T7,1), (T8,2)

Fill in the schedules for these tasks under the associated strategies below.

Greedy using the list order above:

Greedy using a reordering of the list so that longest running tasks appear earliest in the list:

Greedy using a reordering of the list so that shortest running tasks appear earliest in the list:

14. Present a gadget used in the reduction of **3-SAT** to some graph theoretic problem where the gadget guarantees that each variable is assigned either **True** or **False**, but not both. Of course, you must tell me what graph theoretic problem is being shown **NP-Complete** and you must explain why the gadget works.