Advanced Data Structures for Sequence Analysis

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October 26, 2018

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Suffix Tree Applications

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Let us have only a glimpse...

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Let
$$T = T[0 \dots n-1]$$
 be our text and $T_i = T[i \dots n-1]$, $i \in [0, n]$.

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Thus $T_{[0..n]}$ contains n + 1 strings of total length $\Theta(n^2)$.

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Suffix tree is a **compact trie** for $T_{[0..n]}$: the set of all suffixes of T.

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Suffix tree is a **compact trie** for $T_{[0..n]}$: the set of all suffixes of T. Example

Let T = CAGAGA.



We assume there is an extra **unique** letter \$ at the end of T:

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Example

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- 6 GA 5 GA 3 GA GA GA (4,6) CAGAGAS
- ► Edge labels are substrings of *T*: represented by *T* intervals.
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Let T = CAGAGAS.



- Edge labels are substrings of T: represented by T intervals.
- Exactly n+1 leaves and at most n internal nodes.
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Space linear in n: O(n).

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Theorem (Farach, FOCS 1997)

Let T be a string of length n over a linearly-sortable alphabet. The suffix tree of T can be constructed in O(n) time.

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In bioinformatics we usually have that $\Sigma = O(1)$.

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- Constructible for all internal nodes in O(n) time.

Example Let T = CAGAGA. $S_{\mu} = AGA$ and $S_{\nu} = GA$.


PREPROCESS: text TQUERY: a pattern P; return all **occ** starting positions of P in T

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Let T = CAGAGA and P = AGA.



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Let T = CAGAGA and P = AGA.
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Traverse the subtree rooted at u with $S_u = P$. Its size is O(occ).

Theorem

Exact string matching queries can be answered in O(|P| + occ)time after O(n) time preprocessing.

INPUT: text *T* OUTPUT: the number of distinct substrings

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INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



Every *locus* (node, depth) in the suffix tree represents a substring of the text and every substring is represented by some locus.

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INPUT: a text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA. Locus (u, 4) represents CAGA.



Every *locus* (node, depth) in the suffix tree represents a substring of the text and every substring is represented by some locus.

INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



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INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



Count the number of distinct loci using a suffix tree traversal.

INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



INPUT: text TOUTPUT: the number of distinct substrings

Example

Let T = CAGAGA.



Theorem The number of distinct substrings can be computed in O(n) time.

Application 3: Longest repeating substring

INPUT: text TOUTPUT: a longest string occurring at least twice

Example

Let T = CAGAGA. The answer is AGA.



Find a deepest internal node using a traversal of the suffix tree.

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Example

Let T = CAGAGA. The answer is AGA.



Find a deepest internal node using a traversal of the suffix tree. Theorem A longest repeating substring can be found in O(n), time.

INPUT: text T and a text SOUTPUT: a longest common substring

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Example

Suffix tree of T#S.



INPUT: text T and a text SOUTPUT: a longest common substring

Example

Suffix tree of T#S.



Find a deepest internal node containing both T- and S-leaves.

INPUT: text T and a text SOUTPUT: a longest common substring

Example

Suffix tree of T#S.



Find a deepest internal node containing both T- and S-leaves.

Theorem

A longest common substring can be found in O(n + |S|) time.

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Application 5: Matching statistics

PREPROCESS: text T QUERY: a text S; return the longest prefix of S[i..] that is a substring of T, for all $i \in [0, |S| - 1]$

Example

Let T = CAGAGA.



Scan S using the suffix tree of T.

Example

Let T = CAGAGA.



Spell S[i..] as much as possible;

Example

Let T = CAGAGA.



Spell S[i..] as much as possible; say S[i..j-1].

Example

Let T = CAGAGA.



Mismatch at S[i..j]?

Example

Let T = CAGAGA.



Mismatch at $S[i \dots j]$? Use suffix link as the failure transition!

Example

Let T = CAGAGA.



This takes us at node u: $S_u = S[i+1..j]$.

Example

Let T = CAGAGA.



This takes us at node u: $S_u = S[i+1..j]$. Repeat from here!

Application 5: Matching statistics

PREPROCESS: text T QUERY: a text S; return the longest prefix of S[i..] that is a substring of T, for all $i \in [0, |S| - 1]$

Example

Let T = CAGAGA.



Theorem

Matching statistics of S with respect to T can be computed in O(|S|) time after O(n) time preprocessing.

PREPROCESS: text TQUERY: a pair (i, j); return the longest common prefix of T[i..]and T[j..]

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QUERY: a pair (i, j); return the longest common prefix of T[i ...] and T[j ...]

The lowest common ancestor (LCA) of two nodes u and v is the deepest node that is an ancestor of both u and v.



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The lowest common ancestor (LCA) of two nodes u and v is the deepest node that is an ancestor of both u and v.



Theorem (Bender and Farach-Colton, LATIN 2000) Any tree of size O(N) can be preprocessed in O(N) time so that the LCA of any two nodes can be computed in O(1) time.

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Example

Let T = CAGAGA. Let (1, 5) be the query. The answer is A.



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Example

Let T = CAGAGA. Let (1, 5) be the query. The answer is A.



Theorem

Longest common prefix queries can be answered in O(1) time after O(n) time preprocessing.

Application 7: Longest palindromic substring

INPUT: text TOUTPUT: a longest palindromic substring of T

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INPUT: text T OUTPUT: a longest palindromic substring of T Palindrome: $S = ATTA = S^R = ATTA$.
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- Preprocess the suffix tree for LCA queries.

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- Answer LCA queries for T_i and T_{n-i}^R , for all *i*.

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► A deepest LCA represents the longest odd-length palindrome.

INPUT: text TOUTPUT: a longest palindromic substring of T



- A deepest LCA represents the longest odd-length palindrome.
- Even-length palindromes are handled analogously.

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- Even-length palindromes are handled analogously.
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- Even-length palindromes are handled analogously.
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Theorem

A longest palindromic substring can be computed in O(n) time.

INPUT: text T, a pattern P, and an integer k > 0OUTPUT: all positions i in T: $d_H(T[i + |P| - 1], P) \le k$

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INPUT: text *T*, a pattern *P*, and an integer k > 0OUTPUT: all positions *i* in *T*: $d_H(T[i + |P| - 1], P) \le k$ Hamming distance d_H : $d_H(GCTA, GCAA) = 1$; $d_H(GCTA, ACAA) = 2$.

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- Construct the suffix tree of P#T\$.
- Answer LCA query for T_i and P, for i = 0.

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- Say this gives an LCP of length ℓ_1 .

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INPUT: text T, a pattern P, and an integer k > 0OUTPUT: all positions i in T: $d_H(T[i + |P| - 1], P) \le k$

• "Jump" over the mismatch $T[i + \ell_1] \neq P[\ell_1]$.

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- "Jump" over the mismatch $T[i + \ell_1] \neq P[\ell_1]$.
- ▶ Via answering the LCA query for $T_{i+\ell_1+1}$ and P_{ℓ_1+1} .

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INPUT: text T, a pattern P, and an integer k > 0OUTPUT: all positions i in T: $d_H(T[i + |P| - 1], P) \le k$

- "Jump" over the mismatch $T[i + \ell_1] \neq P[\ell_1]$.
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- Answer (at most) k + 1 queries per *i*.
- ▶ Report *i* if the total length $\ell_1 + 1 + \ell_2 + 1 + \cdots$ is at least |P|.

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Theorem (Landau and Vishkin, TCS 1986)

Approximate string matching can be solved in O(kn) time.

Application 9: Lempel-Ziv factorization

INPUT: text TOUTPUT: Lempel-Ziv factorization of T

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INPUT: text TOUTPUT: Lempel-Ziv factorization of TLZ factorization of T:

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Example

Let T = abbaabbbaaabab.

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- ► Each F_i is the longest prefix of F_i · · · F_k with some occurrence to the left;
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Example

Let T = abbaabbbaaabab. The LZ factorization of T is $a \cdot b \cdot b \cdot a \cdot abb \cdot baa \cdot ab \cdot ab$.

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Let T = abbaabbbaaabab. The LZ factorization of T is $a \cdot b \cdot b \cdot a \cdot abb \cdot baa \cdot ab \cdot ab$. Why do we care?

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Example

Let T = abbaabbbaaabab. The LZ factorization of T is $a \cdot b \cdot b \cdot a \cdot abb \cdot baa \cdot ab \cdot ab$.

Why do we care? LZ factorization is a basic and powerful technique for text compression (and string algorithms)!

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- How? Use a depth-first traversal and propagate the starting positions upwards.

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- Run the matching statistics algorithm for T with respect to T.

- Construct the suffix tree of T.
- Decorate each internal node with the leftmost starting position the string it represents occurs.
- How? Use a depth-first traversal and propagate the starting positions upwards.
- ▶ Run the matching statistics algorithm for *T* with respect to *T*.
- ► For each longest match check the leftmost starting position.

- Construct the suffix tree of T.
- Decorate each internal node with the leftmost starting position the string it represents occurs.
- How? Use a depth-first traversal and propagate the starting positions upwards.
- ▶ Run the matching statistics algorithm for *T* with respect to *T*.
- ► For each longest match check the leftmost starting position.

Theorem

LZ factorization can be computed in O(n) time.

INPUT: text TOUTPUT: a shortest unique substring of T

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► Construct the suffix tree of *T*.

INPUT: text T

OUTPUT: a shortest unique substring of T

- Construct the suffix tree of *T*.
- For each leaf node labeled *i*, for all *i* ∈ [0, *n*], pick up the closest ancestor *v* using a depth-first traversal.

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Example

Let T = CAGAGA.



INPUT: text TOUTPUT: a shortest unique substring of T

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INPUT: text T

OUTPUT: a shortest unique substring of T

The substring represented by v concatenated with the succeeding letter is the shortest unique substring starting at i.

INPUT: text T

OUTPUT: a shortest unique substring of T

The substring represented by v concatenated with the succeeding letter is the shortest unique substring starting at i.

Example

Let T = CAGAGA. The shortest unique substring starting at 1 is AGAG.



INPUT: text TOUTPUT: a shortest unique substring of T

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INPUT: text TOUTPUT: a shortest unique substring of T

► Take a shortest substring among all *i*.

INPUT: text TOUTPUT: a shortest unique substring of T

• Take a shortest substring among all *i*.

Example

Let T = CAGAGA. The shortest unique substring is C.



INPUT: text TOUTPUT: a shortest unique substring of T

• Take a shortest substring among all *i*.

Example

Let T = CAGAGA. The shortest unique substring is C.



Theorem

A shortest unique substring can be computed in O(n) time.

Take-home message

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 Suffix tree is a fundamental data structure for processing any type of sequential data.

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- It provides fast implementations of many important string operations.

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- Practice?

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