

- 6 1. In each case below, consider **R1** to be Regular, **R2** to be finite, and **L1** and **L2** to be non-regular CFLs. Fill in the three columns with **Y** or **N**, indicating what kind of language **L** can be. No proofs are required. Read \subseteq as “contained in and may equal.”
Put **Y** in all that are possible and **N** in all that are not.

Definition of L	Regular?	CFL, non-Regular?	Not even a CFL?
$L = L1 / L2$	Y	Y	Y
$L = L1 - R1$	Y	Y	N
$L = \Sigma^* - L1$	Y	Y	Y
$L \subseteq R2$	Y	N	N

- 3 2. Choosing from among **(D) decidable**, **(U) undecidable**, **(?) unknown**, categorize each of the following decision problems. No proofs are required. **L** is a language over Σ ; **w** is a word in Σ^*

Problem / Language Class	Regular	Context Free	Context Sensitive	Phrase Structured
$L = \emptyset ?$	Y	Y	N	N
$L \text{ is } \Sigma^* ?$	Y	N	N	N

- 4 3. Prove that any class of languages, **C**, closed under union, concatenation, intersection with regular languages, homomorphism and substitution (e.g., the Context-Free Languages) is closed under **Double Interior Retention with Regular Sets**, denoted by the operator $\|$, where **L** \in **C**, **R** is Regular, **L** and **R** are both over the alphabet Σ , and
 $L\|R = \{ vx \mid v, x \in R \text{ and } \exists u, w \in \Sigma^+ \text{ such that } uvwx \in L \}$.
 You may assume substitution $f(a) = \{a, a'\}$, and homomorphisms $g(a) = a'$ and $h(a) = a, h(a') = \lambda$. Here $a \in \Sigma$ and a' is a new character associated with each such $a \in \Sigma$.
 You only need give me the definition of $L\|R$ in an expression that obeys the above closure properties; you do not need to prove or even justify your expression.

$L\|R = \underline{h(f(L) \cap g(\Sigma^+) R g(\Sigma^+) R)}$

- 4 4. Specify True (T) or False (F) for each statement.

Statement	T or F
An algorithm exists to determine if a Phrase Structured Grammar generates λ	F
If P is Unsolvable then Rice’s Theorem can always show this	F
The Context Sensitive Languages are closed under complement	T
If $P \leq_m \text{Halt}$ then P must be RE	T
The RE sets are closed under intersection	T
The correct traces of a Turing Machine’s Computations form a Context Free Language	F
The Post Correspondence Problem is decidable if $ \Sigma = 1$	T
There is an algorithm to determine if L is finite, for L a Context Sensitive Language	F

- 4 5. Let $P = \langle \langle x_1, x_2, \dots, x_n \rangle, \langle y_1, y_2, \dots, y_n \rangle \rangle$, $x_i, y_i \in \Sigma^+$, $1 \leq i \leq n$, be an arbitrary instance of PCP. We can use PCP's undecidability to show the undecidability of the problem to determine if a **Context Free Grammar** is ambiguous. Present grammars, **G1** and **G2**, associated with an arbitrary instance of PCP, **P**, such that $\mathcal{L}(G1) \cap \mathcal{L}(G2)$ is non-empty if and only if there is a solution to **P**.

Define $G1 = (\{X\}, \Sigma \cup \{ [i] \mid 1 \leq i \leq n \}, R1, X)$, $G2 = (\{Y\}, \Sigma \cup \{ [i] \mid 1 \leq i \leq n \}, R2, Y)$, where **R1** and **R2** are the sets of rules (this is your job):

$$X \rightarrow x_i X [i] \mid x_i [i] \quad 1 \leq i \leq n$$

$$Y \rightarrow y_i Y [i] \mid y_i [i] \quad 1 \leq i \leq n$$

- 12 6. Choosing from among **(REC)** recursive, **(RE)** re non-recursive, **(coRE)** co-re non-recursive, **(NRNC)** non-re/non-co-re, categorize each of the sets in a) through d). Justify your answer by showing some minimal quantification of some known recursive predicate.

a.) $A = \{ f \mid \text{range}(\varphi_f) \text{ has no values greater than } 10 \}$

$$\underline{\forall \langle x, t \rangle [STP(f, x, t) \Rightarrow VALUE(f, x, t) \leq 10]} \quad \underline{CoRE}$$

b.) $B = \{ \langle f, x \rangle \mid \varphi_f \text{ converges on every value (input) greater than or equal to } x \}$

$$\underline{\forall y \exists t [y \geq x \Rightarrow STP(f, y, t)]} \quad \underline{NRNC}$$

c.) $C = \{ f \mid \varphi_f \text{ converges for at least one value (input) of } x \text{ in at most } x \text{ steps} \}$

$$\underline{\exists x [STP(f, x, x)]} \quad \underline{RE}$$

d.) $D = \{ f \mid \text{if } \varphi_f(f) \text{ converges it takes more than } f \text{ steps to do so} \}$

$$\underline{\sim STP(f, f, f)} \quad \underline{REC}$$

- 2 7. Looking back at Question 6, which of these are candidates for using Rice's Theorem to show their unsolvability? Check all for which Rice Theorem might apply.

a) X b) X c) ___ d) ___

8. Show that a set S is an infinite decidable (solvable/recursive) set if and only if it can be described as the range of a monotonically increasing algorithm. I will start the proof.
- 3 a.) Let S be an infinite recursive set. As S is decidable, it has a characteristic function χ_S where $\chi_S(\mathbf{x}) = 1$, when $\mathbf{x} \in S$, and $\chi_S(\mathbf{x}) = 0$, otherwise. Using χ_S as a basis, we wish to define a monotonically increasing algorithm f_S whose range is S . Note that, since S is non-empty, it has a smallest element and, since it is infinite, it has no largest element. I have started the proof using primitive recursion (induction). You must complete it by writing in the formula to compute $f_S(\mathbf{y}+1)$ given we know the value of $f_S(\mathbf{y})$.

Let $\mathbf{x} \in S \Leftrightarrow \chi_S(\mathbf{x})$

// list the smallest element

Define $f_S(0) = \mu \mathbf{x} \chi_S(\mathbf{x})$

// list the next item in monotonically increasing order. That's your job!!

$f_S(\mathbf{y}+1) = \underline{\mu \mathbf{x} [\chi_S(\mathbf{x}) \ \&\& \ \mathbf{x} > f_S(\mathbf{y})]}$

- 3 b.) Let S be the range of some monotonically increasing enumerating algorithm f_S . Show that S must be an infinite recursive set. First S is infinite since $\forall \mathbf{x} f_S(\mathbf{x}+1) > f_S(\mathbf{x})$. You must now present a characteristic function χ_S that takes advantage of the infinite nature of S and the fact that f_S is monotonically increasing and so enumerates any item \mathbf{x} in some known bounded amount of time.

$\chi_S(\mathbf{x}) = \underline{\exists \mathbf{y} \leq \mathbf{x} [f_S(\mathbf{y}) = \mathbf{x}]}$

- 6 9. Let sets A be a **non-empty** recursive (decidable) set and let B be re non-recursive (undecidable). Consider $C = \{ z \mid z = \mathbf{y}^{\mathbf{x}}, \text{ where } \mathbf{x} \in A \text{ and } \mathbf{y} \in B \}$.
Note: Here, we define 0^0 to be 1 (yeah, I know that's a point of debate in Mathematics, but not in this question). For (a)-(c), either show sets A and B and the resulting set C , such that C has the specified property (argue convincingly that it has the correct property) or demonstrate (prove by construction) that this property cannot hold.
- a. Can C be recursive? Circle **Y** or **N**.
 $A = \{ 0 \}; B = HALT; C = \{ 0^*x \mid x \in HALT \} = \{ 0 \}$, which is recursive
- b. Can C be re non-recursive? Circle **Y** or **N**.
 $A = \{ 1 \}; B = HALT; C = \{ 0^*x \mid x \in HALT \} = HALT$, which is re, non-recursive
- c. Can C be non-re? Circle **Y** or **N**.

Let $Range(f_A) = A; Range(f_B) = B$

Define $f_C(x, y) = f_B(x) \wedge f_C(y) = \{ x^y \mid x \in A, y \in B \} = C$

But then C is enumerated by f_C and hence is re.

10. Define CounterID (CI) = $\{ f \mid \text{for all input } x, f(x) \neq x \}$.

- 2 a.) Show some minimal quantification of some known recursive predicate that provides an upper bound for the complexity of this set. (Hint: Look at c.) and d.) to get a clue as to what this must be.)

$$\forall x \exists t \{ STP(f, x, t) \ \&\& \ VALUE(f, x, t) \neq x \}$$

- 5 b.) Use Rice's Theorem to prove that CI is undecidable.

Non-Trivial as: $S \in CI$ and $C0 \notin CI$

Let f, g be arbitrary indices of procedures such that $\forall x f(x) = g(x)$

$$f \in CI \Leftrightarrow \forall x f(x) \downarrow \ \&\& \ f(x) \neq x$$

$$\Leftrightarrow \forall x g(x) \downarrow \ \&\& \ g(x) \neq x \quad \text{since } \forall x f(x) = g(x)$$

$$\Leftrightarrow g \in CI$$

Thus, using the Strong Form of Rice's we have that CI is undecidable.

- 5 c.) Show that $TOTAL \leq_m CI$, where $TOTAL = \{ f \mid \forall x f(x) \downarrow \}$.

Let f be an arbitrary index of a procedure

Define $\forall x G_f(x) = f(x) - f(x)$

$$f \in TOTAL \Leftrightarrow \forall x f(x) \downarrow$$

$$\Leftrightarrow \forall x G_f(x) = 0$$

$$\Rightarrow G_f \in CI$$

$$f \notin TOTAL \Leftrightarrow \forall x f(x) \uparrow$$

$$\Rightarrow G_f \notin CI$$

- 1 d.) From a.) through c.) what can you conclude about the complexity of CI (Recursive, RE, RE-COMplete, CO-RE, CO-RE-COMplete, NON-RE/NON-CO-RE)?

NON-RE/NON-CO-RE