

## Generally useful information.

- The notation  $z = \langle x, y \rangle$  denotes the pairing function with inverses  $x = \langle z \rangle_1$  and  $y = \langle z \rangle_2$ .
- The minimization notation  $\mu y [P(\dots, y)]$  means the least  $y$  (starting at  $0$ ) such that  $P(\dots, y)$  is true. The bounded minimization (acceptable in primitive recursive functions) notation  $\mu y (u \leq y \leq v) [P(\dots, y)]$  means the least  $y$  (starting at  $u$  and ending at  $v$ ) such that  $P(\dots, y)$  is true. Unlike the text, I find it convenient to define  $\mu y (u \leq y \leq v) [P(\dots, y)]$  to be  $v+1$ , when no  $y$  satisfies this bounded minimization.
- The tilde symbol,  $\sim$ , means the complement. Thus, set  $\sim S$  is the set complement of set  $S$ , and predicate  $\sim P(x)$  is the logical complement of predicate  $P(x)$ .
- The minus symbol,  $-$ , when applied to sets is set difference, so  $S - T = \{x \mid x \in S \ \&\& \ x \notin T\}$ .
- The absolute value,  $|z|$ , is the magnitude of  $z$ . Thus,  $|x-y|$  is the difference between  $x$  and  $y$ , when  $x$  and  $y$  are both non-negative.
- A function  $P$  is a predicate if it is a logical function that returns either **1 (true)** or **0 (false)**. Thus,  $P(x)$  means  $P$  evaluates to **true** on  $x$ , but we can also take advantage of the fact that **true** is **1** and **false** is **0** in formulas like  $y \times P(x)$ , which would evaluate to either  $y$  (if  $P(x)$ ) or  $0$  (if  $\sim P(x)$ ).
- A set  $S$  is recursive if  $S$  has a total recursive characteristic function  $\chi_S$ , such that  $x \in S \Leftrightarrow \chi_S(x)$ . Note  $\chi_S$  is a predicate. Thus, it evaluates to **0 (false)**, if  $x \notin S$ .
- When I say a set  $S$  is re, unless I explicitly say otherwise, you may assume any of the following equivalent characterizations:
  1.  $S$  is either empty or the range of a total recursive function  $f_S$ .
  2.  $S$  is the domain of a partial recursive function  $g_S$ .
  3.  $S$  is recognizable by a Turing Machine.
- If I say a function  $g$  is partially computable, then there is an index  $g$  (I know that's overloading, but that's okay as long as we understand each other), such that  $\Phi_g(x) = \Phi(g, x) = g(x)$ . Here  $\Phi$  is a universal partially recursive function. Moreover, there is a total recursive function  $STP$ , such that  $STP(g, x, t)$  is **1 (true)**, just in case  $g$ , started on  $x$ , halts in  $t$  or fewer steps.  $STP(g, x, t)$  is **0 (false)**, otherwise. Finally, there is another total recursive function  $VALUE$ , such that  $VALUE(g, x, t)$  is  $g(x)$ , whenever  $STP(g, x, t)$ .  $VALUE(g, x, t)$  is defined but meaningless if  $\sim STP(g, x, t)$ .
- The notation  $f(x) \downarrow$  means that  $f$  converges when computing with input  $x$ , but we don't care about the value produced. In effect, this just means that  $x$  is in the domain of  $f$ .
- The notation  $f(x) \uparrow$  means  $f$  diverges when computing with input  $x$ . In effect, this just means that  $x$  is **not** in the domain of  $f$ .
- The **Halting Problem** for any effective computational system is the problem to determine of an arbitrary effective procedure  $f$  and input  $x$ , whether or not  $f(x) \downarrow$ . The set of all such pairs is a classic re non-recursive one. The set of all such  $\langle f, x \rangle$  is denoted  $K_0$ . A related set  $K$  is the set of all  $f$  that halt on their own indices. Thus,  $K = \{f \mid \Phi_f(f) \downarrow\}$  and  $K_0 = \{\langle f, x \rangle \mid \Phi_f(x) \downarrow\}$
- The **Uniform Halting Problem** is the problem to determine of an arbitrary effective procedure  $f$ , whether or not  $f$  is an algorithm (halts on all input). The set of all such function indices is a classic non re one and is often called **TOTAL**.

1. Let set **A** be recursive, **B** be re non-recursive and **C** be non-re. Choosing from among **(REC) recursive**, **(RE) re non-recursive**, **(NR) non-re**, categorize the set **D** in each of a) through d) by listing **all** possible categories. No justification is required.

- a.)  $D = \sim C$  \_\_\_\_\_
- b.)  $D \subseteq (A \cup C)$  \_\_\_\_\_
- c.)  $D = \sim B$  \_\_\_\_\_
- d.)  $D = B - A$  \_\_\_\_\_

2. Prove that the **Halting Problem** (the set  $K_0$ ) is not recursive (decidable) within any formal model of computation. (Hint: A diagonalization proof is required here.)

3. Using reduction from the known undecidable **HasZero**,  $HZ = \{ f \mid \exists x f(x) = 0 \}$ , show the non-recursiveness (undecidability) of the problem to decide if an arbitrary primitive recursive function **g** has the property **IsZero**,  $Z = \{ f \mid \forall x f(x) = 0 \}$ .

4. Choosing from among **(D) decidable**, **(U) undecidable**, **(?) unknown**, categorize each of the following decision problems. No proofs are required.

Problem / Language Class	Regular	Context Free
$L = \Sigma^*$ ?		
$L = \phi$ ?		
$x \in L^2$ , for arbitrary $x$ ?		

5. Choosing from among **(Y) yes**, **(N) No**, **(?) unknown**, categorize each of the following closure properties. No proofs are required.

Problem / Language Class	Regular	Context Free
Closed under intersection?		
Closed under quotient?		
Closed under quotient with Regular languages?		
Closed under complement?		

6. Prove that any class of languages,  $C$ , closed under union, concatenation, intersection with regular languages, homomorphism and substitution (e.g., the Context-Free Languages) is closed under **MissingMiddle**, where, assuming  $L$  is over the alphabet  $\Sigma$ ,  
**MissingMiddle**( $L$ ) =  $\{ xz \mid \exists y \in \Sigma^* \text{ such that } xyz \in L \}$   
 You must be very explicit, describing what is produced by each transformation you apply.
7. Use **PCP** to show the undecidability of the problem to determine if the intersection of two context free languages is non-empty. That is, show how to create two grammars  $G_A$  and  $G_B$  based on some instance  $P = \langle \langle x_1, x_2, \dots, x_n \rangle, \langle y_1, y_2, \dots, y_n \rangle \rangle$  of **PCP**, such that  $L(G_A) \cap L(G_B) \neq \emptyset$  iff  $P$  has a solution. Assume that  $P$  is over the alphabet  $\Sigma$ . You should discuss what languages your grammars produce and why this is relevant, but no formal proof is required.
8. Consider the set of indices **CONSTANT** =  $\{ f \mid \exists K \forall y [ \varphi_f(y) = K ] \}$ . Use Rice's Theorem to show that **CONSTANT** is not recursive. Hint: There are two properties that must be demonstrated.
9. Show that **CONSTANT**  $\equiv_m$  **TOT**, where **TOT** =  $\{ f \mid \forall y \varphi_f(y) \downarrow \}$ .
10. Why does Rice's Theorem have nothing to say about each of the following? Explain by showing some condition of Rice's Theorem that is not met by the stated property.  
 a.) **AT-LEAST-LINEAR** =  $\{ f \mid \forall y \varphi_f(y) \text{ converges in no fewer than } y \text{ steps} \}$ .  
 b.) **HAS-IMPOSTER** =  $\{ f \mid \exists g [ g \neq f \text{ and } \forall y [ \varphi_g(y) = \varphi_f(y) ] ] \}$ .
11. We described the proof that **3SAT** is polynomial reducible to **Subset-Sum**.  
 a.) Describe **Subset-Sum**  
 b.) Show that **Subset-Sum** is in **NP**  
 c.) Assuming a **3SAT** expression  $(a + \sim b + c) (b + b + \sim c)$ , fill in the upper right part of the reduction from **3SAT** to **Subset-Sum**.

	<b>a</b>	<b>b</b>	<b>c</b>	<b>a + ~b + c</b>	<b>b + b + ~c</b>
<b>a</b>	1				
<b>~a</b>	1				
<b>b</b>		1			
<b>~b</b>		1			
<b>c</b>			1		
<b>~c</b>			1		
<b>C1</b>				1	
<b>C1'</b>				1	
<b>C2</b>					1
<b>C2'</b>					1
	1	1	1	3	3

12. Use the appropriate Pumping Lemmas to show:  
 a.)  $\{ ww \mid w \text{ is over } \{a,b\} \}$  is not Regular  
 b.)  $\{ ww \mid w \text{ is over } \{a,b\} \}$  is not Context Free
13. Write a context-free grammar for the complement of the language  $\{ ww \mid w \text{ is in } \{a,b\}^* \}$