

WEEK #7  
PUMPING LEMMA  
FOR CFLS

②

IF  $L$  IS A CFL, THEN  $\exists N > 0$ ,  
SUCH THAT IF  $z \in L$  AND  $|z| \geq N$   
THEN  $z = UN^iWX^iY$ ,  
WHERE  $|NWX| \leq N$ ,  $|NX| > 0$   
AND  $\forall i \geq 0 \quad UN^iWX^iY \in L$

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NOTE THAT IF  $|\Sigma| = 1$  THEN  
PUMPING LEMMA FOR CFL  
DEGENERATES TO PUMPING LEMMA FOR REGULAR  
THUS, IF  $L \subseteq \Sigma^*$ ,  $|\Sigma| = 1$ , AND  $L$   
IS NOT REGULAR THEN  $L$  IS NOT A CFL

$L = \{ww \mid w \in \{a,b\}^*\}$  IS NOT A CFL

1. ASSUME  $L$  IS A CFL

2. PL GIVES YOU  $N$

3. YOU CHOOSE  $a^N b^N a^N b^N$

4. PL SPLITS  $a^N b^N a^N b^N$  INTO  $u v w x y$   
WHERE  $|vwx| \leq N, |vx| > 0$

AND STATES  $\forall l > 0, u v^l w x^l y \in L$

5. a) IF  $vwx$  IS OVER  $a$ 'S ONLY

THEN SINCE  $|vwx| \leq N$ :

$vwx$  CANNOT SPAN FROM ONE OF  
THE  $a^N$  SUBSTRINGS TO OTHER,

AND SO FOR  $l=0$ , WE GET EITHER

$a^{N-|vx|} b^N a^N b^N \in L$  OR  $a^N b^{N-|vx|} a^N b^N \in L$

AND BOTH ARE NOT SO.

b) IF  $vwx$  IS OVER  $b$ 'S ONLY, THE  
SAME ARGUMENTS APPLY

c) IF  $vwx$  SPANS  $a$ 'S AND  $b$ 'S THEN  
THIS MUST BE WITHIN ONE OF

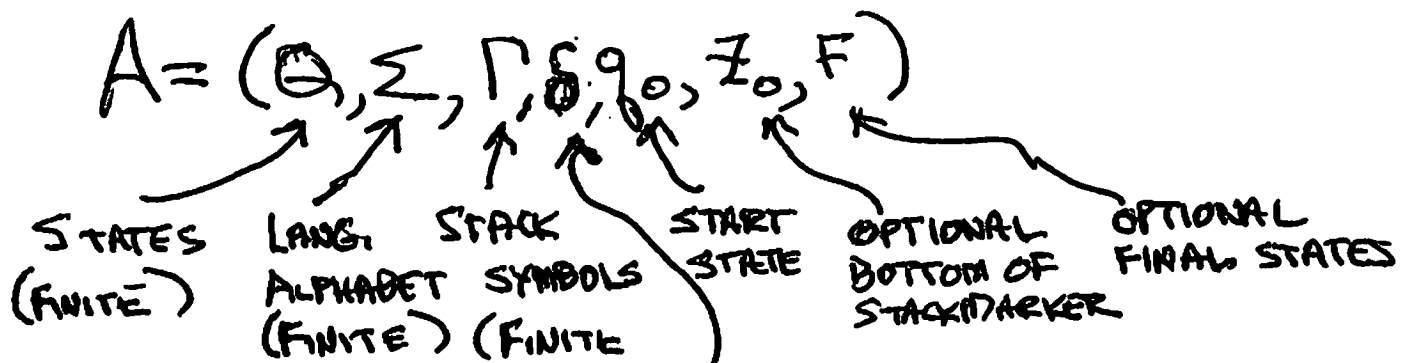
THE  $a^N b^N$  OR  $b^N a^N$  SUBSTRINGS  
AS  $|vwx| \leq N$ . IF  $l=0$  THEN WE

REDUCE SOME  $a$ 'S AND SOME  $b$ 'S, BUT NOT ALL,  
WITHIN JUST ONE OF THE CONSECUTIVE

$a$ 'S AND  $b$ 'S, BUT NOT THE OTHER  
AND, SO THE RESULTING STRING IS NOT  
X/

# WEEK 8 PUSHDOWN AUTOMATA PDA

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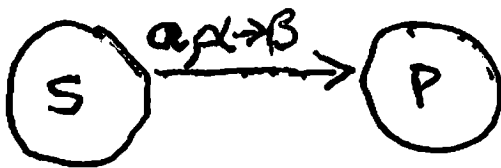


TRANSITION FUNCTION

$$\delta: Q \times \Sigma \cup \Gamma \rightarrow 2^{Q \times \Gamma^*}$$

CAN EXTEND TO  $\Gamma^*$  CAN LIMIT TO  $\Gamma_c$  BY GROWING STATES

PDA DIAGRAMS



SOME TEXTS USE  $Q, X / Y$   
WHICH IS FINE ALSO

$$\delta(s, a, \alpha) \ni \{(p, \beta)\}$$

# PDA LANGUAGES = CFLs

## INSTANTANEOUS DESCRIPTIONS (ID)

$[q, w, \gamma]$   $q$  - CURRENT STATE  
 $w$  - REMAINING INPUT  
 $\gamma$  - STACK CONTENTS  
 READ LEFT (TOP) TO RIGHT (BOTTOM)

### SINGLE STEP

$[q, \alpha x, \gamma \alpha] \vdash [p, x, \beta \alpha]$  IF  $\delta(q, a, z) \in (p, \beta)$

MULTISTEP  $\vdash^*$  REFLEXIVE TRANSITIVE CLOSURE OF  $\vdash$

GIVEN  $A = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$

THERE CAN BE THREE NOTIONS OF ACCEPTANCE

### FINAL STATE

$L(A) = \{w \mid [q_0, w, z_0] \vdash^* [f, \lambda, \beta]\}, f \in F$

### EMPTY STACK

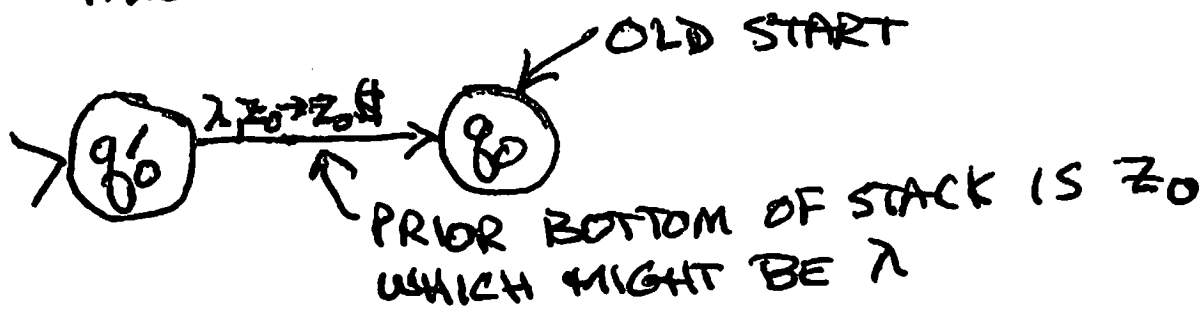
$N(A) = \{w \mid [q_0, w, z_0] \vdash^* [q, \lambda, \lambda]\}, q \in Q$

### EMPTY STACK AND FINAL STATE

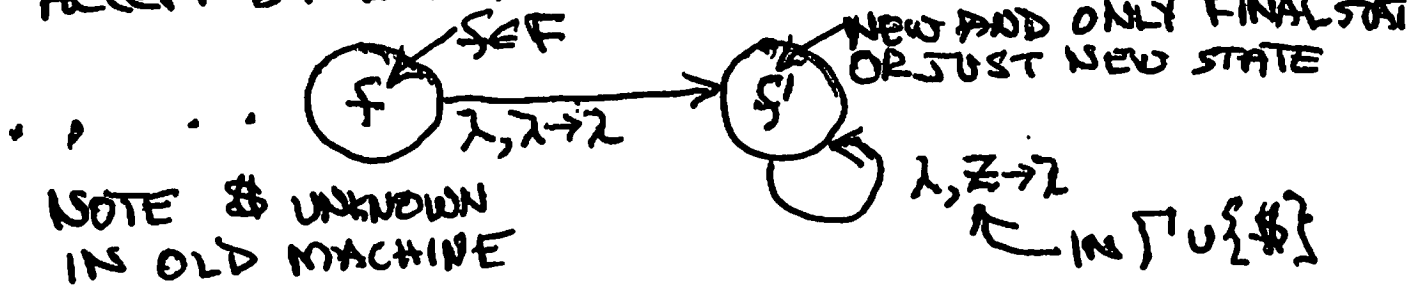
$E(A) = \{w \mid [q_0, w, z_0] \vdash^* [f, \lambda, \lambda]\}, f \in F$

# EQUIVALENCY OF LANGUAGE CLASSES, $L(A)$ , $N(A)$ , $E(A)$ , WHERE $A$ RANGES OVER ALL PDAS

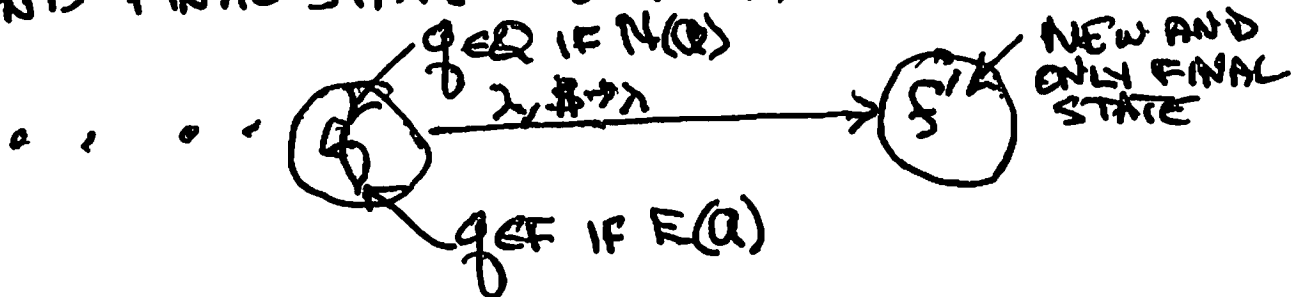
- CONVERTING ONE FORM TO ANOTHER  
FOR EACH CASE, ASSUME  $q_0'$  IS A NEW STATE (NEW START) AND  $\$$  IS A NEW STACK SYMBOL PLACED ON BOTTOM OF STACK. FOR ALL CASES, START WITH



- CHANGE ACCEPT BY FINAL STATE TO ACCEPT BY EMPTY STACK OR EMPTY AND FINAL STATE

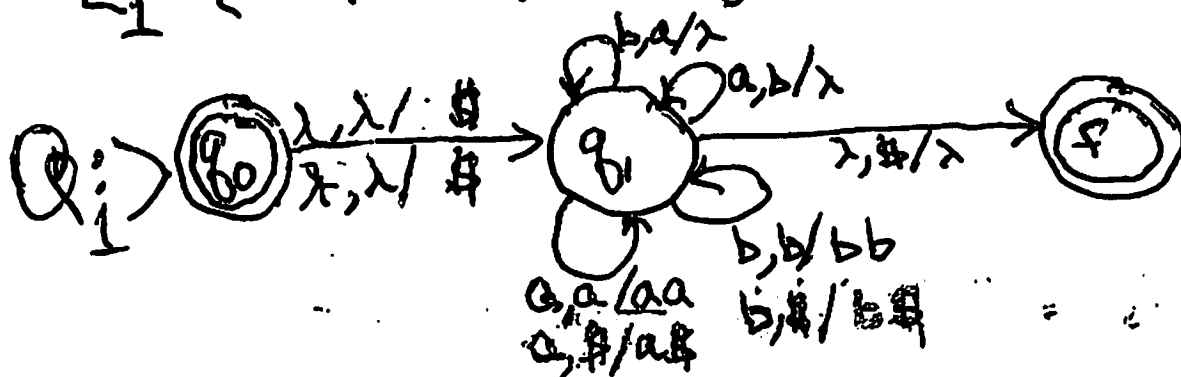


- CHANGE ACCEPT BY EMPTY STACK OR EMPTY AND FINAL STATE TO ACCEPT BY FINAL STATE



# EXAMPLE PDA

$L_1 = \{ w \mid |w|_a = |w|_b \}$ , Assume  $\lambda$  ON STACK



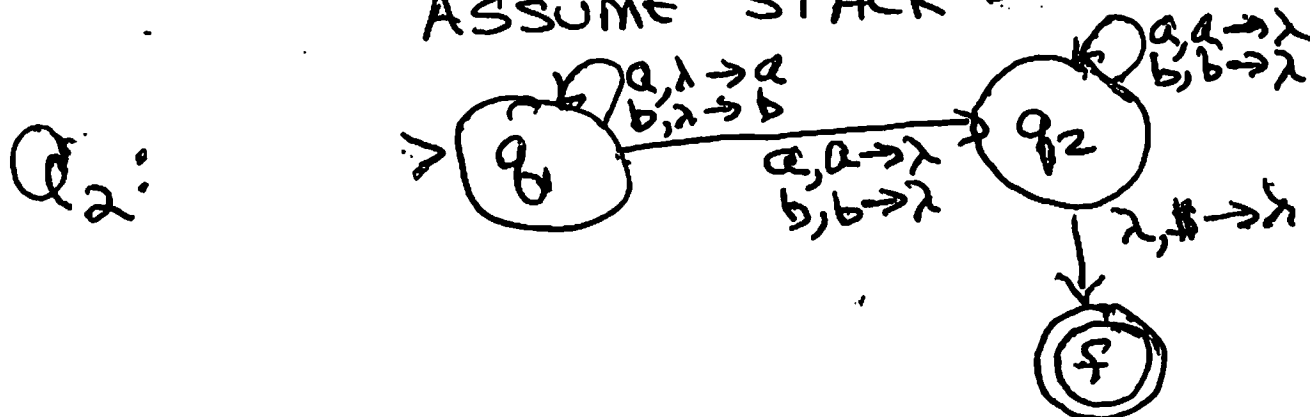
I USED / WHERE BOOK USES  $\rightarrow$

THIS GETS  $L_1 = E(Q_1)$

THIS IS NON-DETERMINISTIC

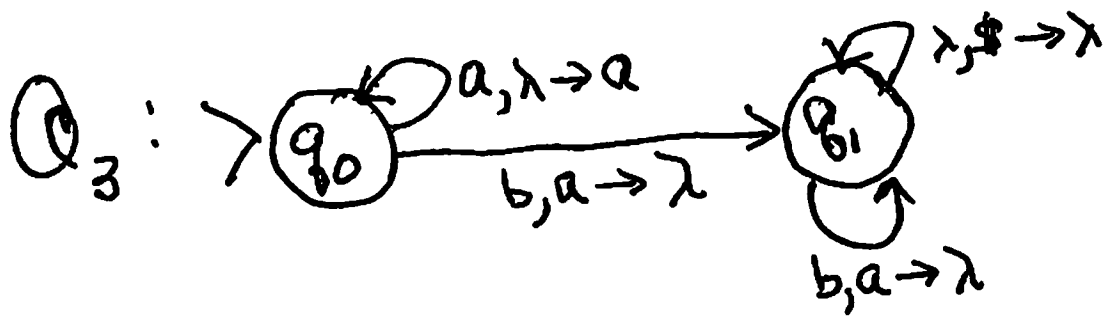
$L_2 = \{ ww^R \mid w \in \{a, b\}^+ \}$

ASSUME STACK STARTS WITH #



THIS GETS  $L_2 = L(Q_2) = E(Q_2)$   
THIS IS ALSO NON-DETERMINISTIC

$L_3 = \{a^n b^n \mid n > 0\}$ . Assume # on stack



THIS GETS  $L_3 = N(Q_3)$

THIS IS DETERMINISTIC

## DETERMINISM FOR PDAs

- 1) FOR EACH  $q \in Q$  &  $z \in \Gamma$  &  $a \in \Sigma$   
IF  $| \delta(q, \lambda, z) | > 0$  THEN  $| \delta(q, a, z) | = 0$
- 2) FOR NO  $q \in Q$ ,  $z \in \Gamma$  &  $a \in \Sigma_e$   
IS  $| \delta(q, a, z) | > 1$

17/3/2016

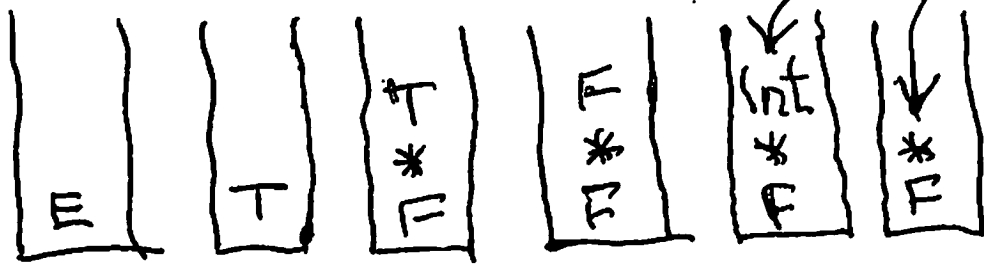
WEEK 8

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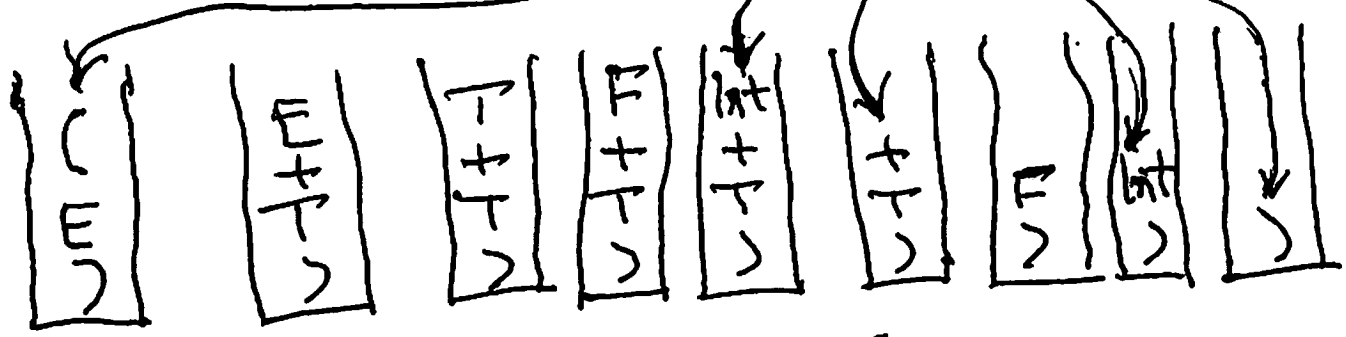
# Top-Down Parser

$E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid \text{int}$

INPUT:  $7 * (3 + 21) \Rightarrow \text{int} * (\text{int} + \text{int})$



REMAINING INPUT:  $(\text{int} + \text{int})$



ACCEPTS BY EMPTY STACK

7/1/2021

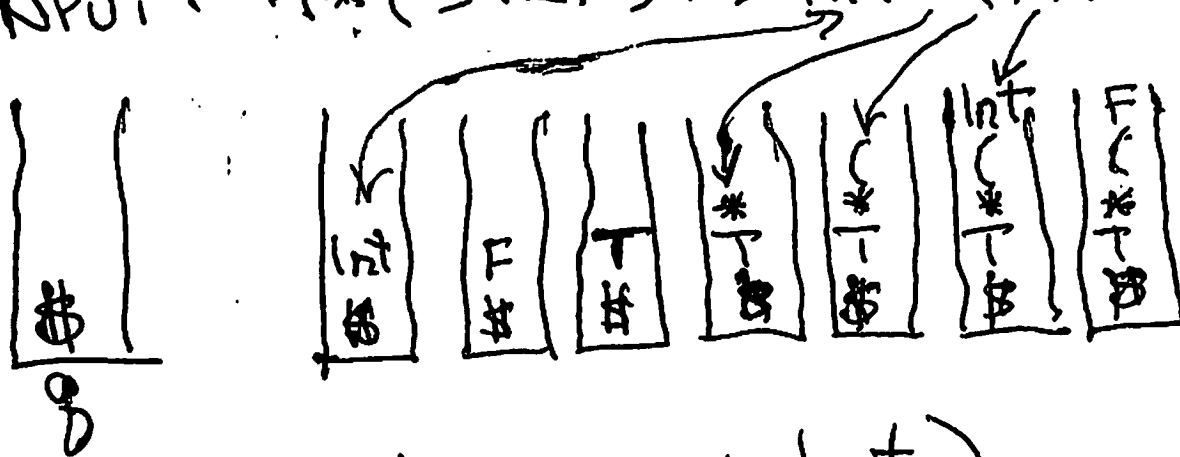
WEEK 8

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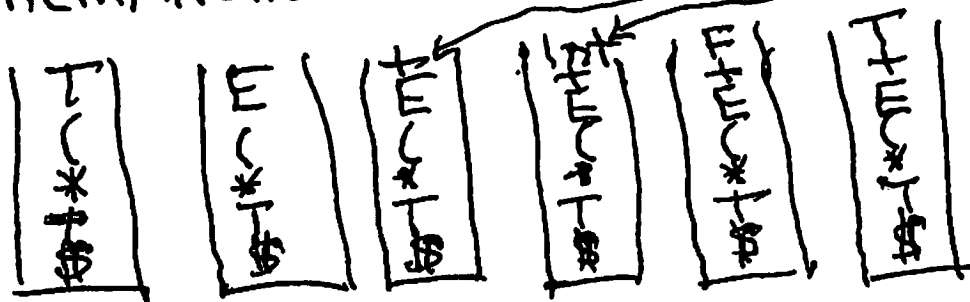
# BOTTOM-UP PARSER

$E \rightarrow E + T \mid T$   
 $T \rightarrow T * F \mid F$   
 $F \rightarrow (E) \mid \text{int}$

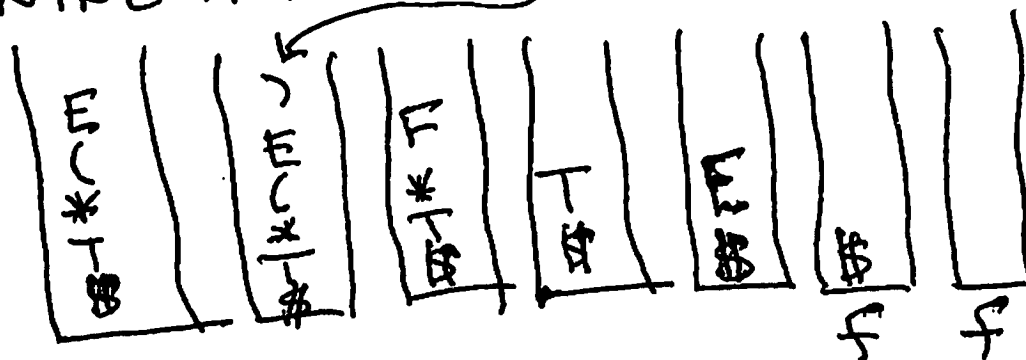
INPUT:  $7 * (3 + 21) \Rightarrow \text{int} * (\text{int} + \text{int})$



REMAINING INPUT  $+ \text{int})$



REMAINING INPUT  $)$



ACCEPTS BY FINAL STATE AND

EMPTY STATE

OR JUST EMPTY STACK (CAN ALTER FOR FINAL)

## LIMITING PDA TO PUSH/POP

PUSH: PUSH( $\alpha$ ) IS EQUIVALENT TO

$$\delta(q, a, z) \supseteq \{(p, \alpha z)\}$$

WHERE WE JUST USE

$$\delta(q, a, z) \supseteq \{(p, \text{PUSH}(\alpha))\}$$

POP: POP IS EQUIVALENT TO

$$\delta(q, a, z) \supseteq \{(p, \lambda)\}$$

WHERE WE JUST USE

$$\delta(q, a, z) \supseteq \{(p, \text{POP})\}$$

IF WANT TO SIMULATE STANDARD  
OPERATION OF

$$\delta(q, a, z) \supseteq \{(p, \alpha)\}$$

CAN DO

$$\delta(q, a, z) \supseteq \{(p', \text{POP})\}$$

$$\delta(p', \lambda, x) \supseteq \{(p, \text{PUSH}(\alpha))\}$$

ANY ELEMENT OF  $\Gamma$   
OR  $\lambda$  IF ALLOWED

$$[q_0, w, \#] \vdash^* [f, \lambda, \lambda]$$

## PDA TO CFG

$$Q = (Q, \Sigma, \Gamma, \delta, q_0, \emptyset, \{f\})$$

TECHNIQUE # 1: ← LIMITED TO PUSH/POP

NON-TERMINALS ARE OF FORM

$$A_{t,u}$$

GOAL IS  $A_{t,u} \xRightarrow{*} w \in \Sigma^*$   
WHEN  $[t, w, \alpha] \vdash^* [u, \lambda, \alpha]$

START IS

$$A_{q_0, f}$$

RULES ARE

$$A_{q,q} \rightarrow \lambda$$

$\forall q \in Q$  REFLEXIVE

TRANSITIVE

$$A_{t,u} \rightarrow A_{t,v} A_{v,u}$$

AND

$$A_{t,u} \rightarrow a A_{r,s} b$$

WHEN  $\delta(t, a, \lambda) \ni \{(r, x)\}$  PUSH  
&  $\delta(r, b, x) \ni \{(u, \lambda)\}$  POP

10/1/2021

WEEK 8

# PDA TO CFG

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$$Q = (Q, \Sigma, \Gamma, \delta, q_0, z, f) \quad \{f\}$$

TECHNIQUE #2:

LIMITED  
TO PUSH  
& POP

USED IN  
TECHNIQUE 1  
 $z = \$$ ; NOT  
USED IN  
BOOK'S APPROACH  
SO  $\emptyset$

NON-TERMINALS ARE OF FORM

$$\langle p, z, q \rangle$$

GOAL IS  $\langle p, z, q \rangle \Rightarrow w \in \Sigma^*$

WHEN  $[\langle p, w, z \rangle] \vdash^* [\langle q, \lambda, \lambda \rangle]$

START SYMBOL IS

$$S \rightarrow \langle q_0, \$, f \rangle$$

CAN ACTUALLY DO FOR EMPTY STACK  
ONLY BY HAVING

$$S \rightarrow \langle q_0, \$, q \rangle \quad \forall q \in Q$$

RULES, OTHER THAN START, ARE

$$\langle q, x, p \rangle \rightarrow a \langle s, y, t \rangle \langle t, x, p \rangle$$

WHENEVER  $\delta(q, a, x) \ni \{ \langle s, \text{PUSH}(y) \rangle \}$

$$\langle q, x, p \rangle \rightarrow a$$

WHENEVER  $\delta(q, a, x) \ni \{ \langle p, \text{POP} \rangle \}$

GOAL:  $\langle q_0, \$, f \rangle \Rightarrow w$ , WHENEVER  $w \in L(Q)$

## GREIBACH NORMAL FORM

ALL RULES, EXCEPT PERHAPS,  $S \rightarrow \lambda$ ,  
LIMITED TO

$$A \rightarrow a \alpha \quad A \in V, a \in \Sigma, \alpha \in V^*$$

PROVIDES LINEAR PARSE IF  
WE CAN AVOID

\*  $\left. \begin{array}{l} \text{SHIFT/REDUCE} \\ \text{REDUCE/REDUCE} \end{array} \right\} \text{CONFLICTS}$