

COT 3100 2/28/23

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## Reminder

Please sign up for a study group SG2.  
(This is the 1st week)  $\Rightarrow$  only if you don't  
have "6" recorded in Webcourses.

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## MORE INDUCTION

- 1) More Examples
  - a) Matrix
  - b) "Other"
  - c) Difficult Inequality
- 2) Strong Induction
  - d) Chicken nugget problem
  - e) NIM

Prove for all positive integers  $n$ , that

$$\begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^n = \begin{bmatrix} 3^n & 3^n - 1 \\ 0 & 1 \end{bmatrix}$$

base case:  $n=1$  LHS =  $\begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^1 = \begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}$  ✓

RHS =  $\begin{bmatrix} 3^1 & 3^1 - 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}$  ✓

Base case holds.

Inductive Hypothesis: Assume for an arbitrarily chosen pos. int.  $n=k$  that

$$\begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^k = \begin{bmatrix} 3^k & 3^k - 1 \\ 0 & 1 \end{bmatrix}$$

Inductive Step: Prove for  $n=k+1$  that  $\begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^{k+1} = \begin{bmatrix} 3^{k+1} & 3^{k+1} - 1 \\ 0 & 1 \end{bmatrix}$

$$\begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^{k+1} = \begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}^k \times \begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} 3^k & 3^k - 1 \\ 0 & 1 \end{bmatrix} \times \begin{bmatrix} 3 & 2 \\ 0 & 1 \end{bmatrix} \text{ using i.h.}$$

$$= \begin{bmatrix} 3^k \times 3 + 0 & 3^k \times 2 + (3^k - 1) \times 1 \\ 0 + 0 & 0 + 1 \end{bmatrix}$$

$$= \begin{bmatrix} 3^{k+1} & 2 \times 3^k + 3^k - 1 \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} 3^{k+1} & 3^{k+1} - 1 \\ 0 & 1 \end{bmatrix} \checkmark$$

## "Other"

Assume knowledge:  $\frac{d}{dx} x = 1$ , Chain Rule

Prove for all pos. int  $n$ ,  $\frac{d}{dx} x^n = nx^{n-1}$ .

base case  $n=1$     LHS =  $\frac{d}{dx} x^1 = 1 \checkmark$

RHS =  $1 \cdot x^{1-1} = 1 \checkmark$

base case holds.

Inductive Hypothesis: Assume for an arbitrarily chosen pos. int.

$n=k$  that  $\frac{d}{dx} x^k = k \cdot x^{k-1}$

Inductive Step: Prove for  $n=k+1$  that  $\frac{d}{dx} x^{k+1} = (k+1)x^k$

$$\frac{d}{dx} (x^{k+1}) = \frac{d}{dx} (x^k \cdot x)$$

$$= x^k \cdot \frac{d}{dx} (x) + x \cdot \frac{d}{dx} (x^k)$$

$$= x^k \cdot 1 + x \cdot k \cdot x^{k-1}, \text{ using I.H.}$$

$$= \frac{x^k}{1} + \frac{k \cdot x^k}{1}$$

$$= (k+1)x^k \checkmark$$

# Inequality

Prove for all pos. int  $n$ . that

$$\sum_{i=1}^{n^2} \sqrt{i} \leq \frac{n(n+1)(4n-1)}{6}$$

base case:  $n=1$  LHS =  $\sum_{i=1}^{1^2} \sqrt{i} = \sqrt{1} = 1 \checkmark$

$$\text{RHS} = \frac{1(1+1)(4 \cdot 1 - 1)}{6} = \frac{6}{6} = 1 \checkmark$$

$1 \leq 1$ , so base case holds.

Inductive hypothesis: Assume for an arbitrarily chosen pos int.

$n=k$  that

$$\sum_{i=1}^{k^2} \sqrt{i} \leq \frac{k(k+1)(4k-1)}{6}$$

Inductive step: Prove for  $n = k+1$  that

$$\begin{aligned} \sum_{i=1}^{(k+1)^2} \sqrt{i} &\leq \frac{(k+1)((k+1)+1)(4(k+1)-1)}{6} \\ &= \frac{(k+1)(k+2)(4k+3)}{6} \end{aligned}$$

$$\begin{aligned}
\sum_{i=1}^{(k+1)^2} \sqrt{i} &= \sum_{i=1}^{k^2} \sqrt{i} + \sum_{i=k^2+1}^{(k+1)^2} \sqrt{i} \\
&\leq \frac{k(k+1)(4k-1)}{6} + \sum_{i=k^2+1}^{(k+1)^2} \sqrt{i}, \text{ using I.H.} \\
&\leq \frac{k(k+1)(4k-1)}{6} + \sum_{i=k^2+1}^{(k+1)^2} \sqrt{(k+1)^2}, \text{ each term in sum } \leq \sqrt{(k+1)^2} \\
&= \frac{k(k+1)(4k-1)}{6} + \sum_{i=k^2+1}^{(k+1)^2} (k+1) \\
&= \frac{k(k+1)(4k-1)}{6} + \frac{(k+1) \cdot (2k+1) 6}{6} \\
&= \frac{(k+1)}{6} \left[ k(4k-1) + 6(2k+1) \right] \\
&= \frac{(k+1)}{6} \left[ 4k^2 - k + 12k + 6 \right] \\
&= \frac{(k+1)}{6} (4k^2 + 11k + 6) \\
&= \frac{(k+1)(k+2)(4k+3)}{6} \quad \checkmark
\end{aligned}$$

Sidebar: Slightly overestimate:

max

$$\sqrt{17} + \sqrt{18} + \sqrt{19} + \sqrt{20} + \sqrt{21} + \sqrt{22} + \sqrt{23} + \sqrt{24} + \sqrt{25}$$

$$\leq 9\sqrt{25} = 45$$

$$\sum_{i=1}^n a_i \leq n \cdot \max(a_i)$$

# Strong Induction

Analogy: In some recursive functions with input  $n$  we call the function recursively ONLY w/ input  $n-1$ .

Other times though, we may make a recursive call to smaller input, say  $n-2$  or  $\frac{n}{2}$  or something else  
(Fib) Merge Sort

In induction, we show  $f(k) \Rightarrow f(k+1)$ .

But what if knowing  $f(k)$  isn't enough to prove  $f(k+1)$ ?

$f(1) \wedge f(2) \wedge f(3) \wedge f(4) \dots \wedge f(k) \Rightarrow f(k+1)$

In strong induction, what changes is the inductive hypothesis

\* Assume for all positive integers  $n \leq k$ , where  $k$  is arbitrarily chosen pos int, that  $f(n)$  is true.

Difference #2: Usually have more than 1 base case!

Chicken nuggets 4 packs or 5 packs.

Prove for all int  $n \geq 12$  that we can buy exactly  $n$  chicken nuggets.

Use strong induction on  $n$  w/ 4 base cases.

base cases:  $n=12$  Three 4 packs ( $4+4+4=12$ )  
 $n=13$  Two 4 packs, One 5 pack ( $4+4+5=13$ )  
 $n=14$  One 4 pack, Two 5 packs ( $4+5+5=14$ )  
 $n=15$  Three 5 packs ( $5+5+5=15$ )

Inductive hypothesis: Assume for all positive ints  $n$ ,  $12 \leq n \leq k$ , where  $k$  is an arbitrarily chosen positive integer, 15 or greater, that we can buy exactly  $n$  chicken nuggets.

Inductive step: Prove for  $n=k+1$  that we can buy exactly  $k+1$  nuggets.

Since  $k \geq 15$ ,  $k+1 \geq 16$ . This means that

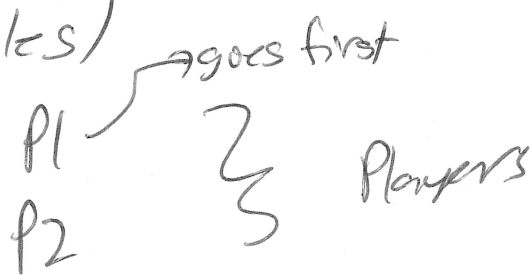
$$(k+1) - 4 \geq 16 - 4 = 12$$

$k-3 \geq 12 \Rightarrow$  by the inductive hypothesis, we can buy exactly  $k-3$  nuggets. Do this, then add a 4 pack so that we've purchased exactly  $(k-3)+4 = k+1$  nuggets as desired!

# NIM (2 player 2 piles)



Pile A      Pile B



On your turn: (a) Pick a pile  
(b) Remove 1 or more stones from the pile.

Winner: whoever takes the last stone.

5 7  
P1 2 6 P2  
P2 4 4 P1  
P1 0 0  $\rightarrow$  P2 wins

Prove iff the 2 piles are equal @ beginning does P2 win (assuming both players play optimally)

Let pile sizes be  $A$  and  $B$ .

Induction on  $\max(A, B)$ .

base case  $\max(A, B) = 1$

$(1, 1) \rightarrow$  P2 wins

$(0, 1) \rightarrow$  P1 wins

I.H. Assume for pos int  $\max(a, b) \leq k$ , where  $k$  is an arbitrarily chosen positive integer that P2 wins NIM iff  $a = b$ .

I.S. Prove for  $\max(a, b) = k+1$  that P2 wins iff  $a = b = k+1$ .

Case 1:  $a = b = k+1$

P1 must pick  $x$  pick  $wlog$  let that be pile  $a$ . After P1's turn the piles are  $(k+1-x, k+1)$ ,  $x > 0$ . Let P2 also take  $x$  stones from the opposite pile, leaving the same in the state  $(k+1-x, k+1-x)$ . Since  $x > 0$ ,  $\max(k+1-x, k+1-x) \leq k$  and l.t.t. applies and P2 will win the game.

Case 2:  $wlog$   $a < b$   $(a, k+1)$  where  $a < k+1$ .

P1 will take  $k+1-a$  stones from pile  $b$  to leave the state  $(a, a)$  for P2 who will be moving as the "1st step".

Since  $a < k+1$ , l.t.t. applies so P2 will lose since P2 is "moving first"