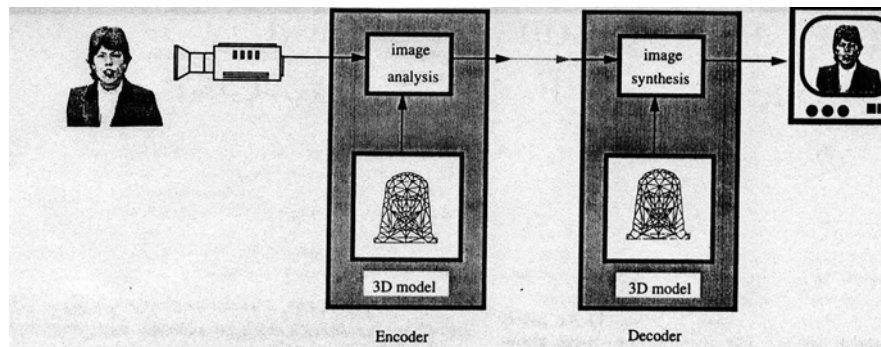


# Lecture-12

## Model-base Video Compression Li, Teklap

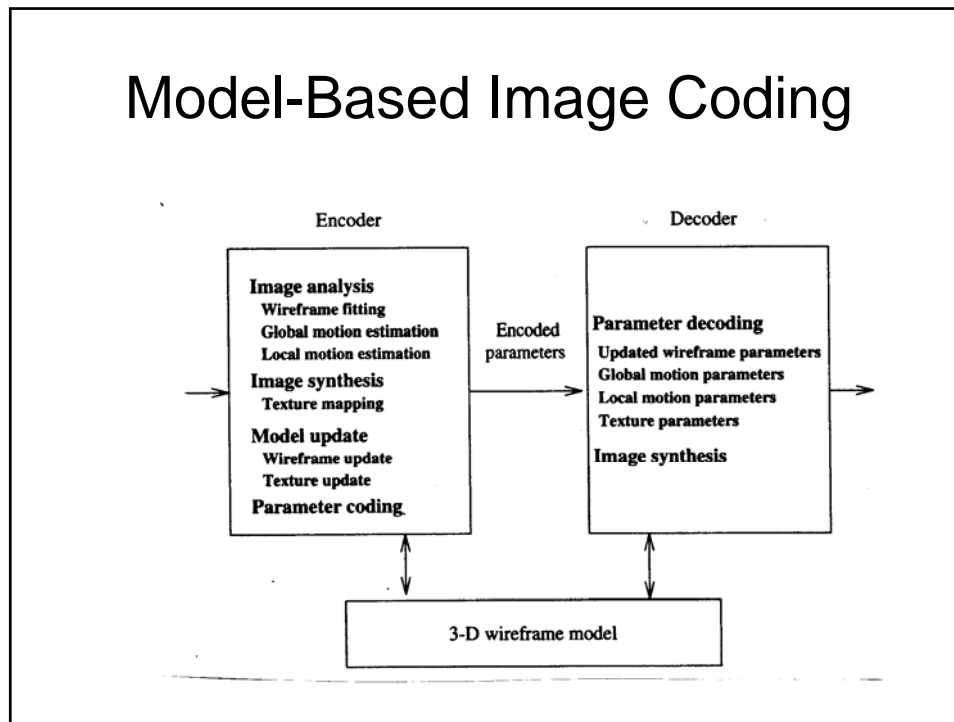
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## Model-Based Image Coding



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# Model-Based Image Coding



# Model-Based Image Coding

- The transmitter and receiver both possess the same 3D face model and texture images.
- During the session, at the transmitter the facial motion parameters: global and local, are extracted.
- At the receiver the image is synthesized using estimated motion parameters.
- The difference between synthesized and actual image can be transmitted as residuals.

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# Candide Model

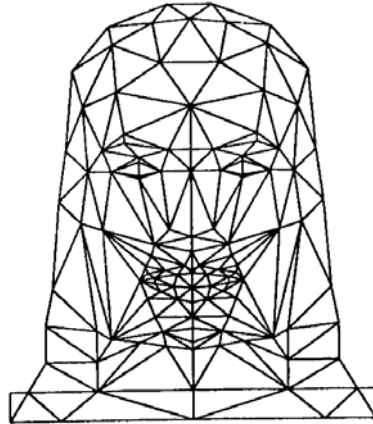


Fig. 2. Wire-frame model of the face.

[../CANDIDE.HTM](#)

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## Face Model

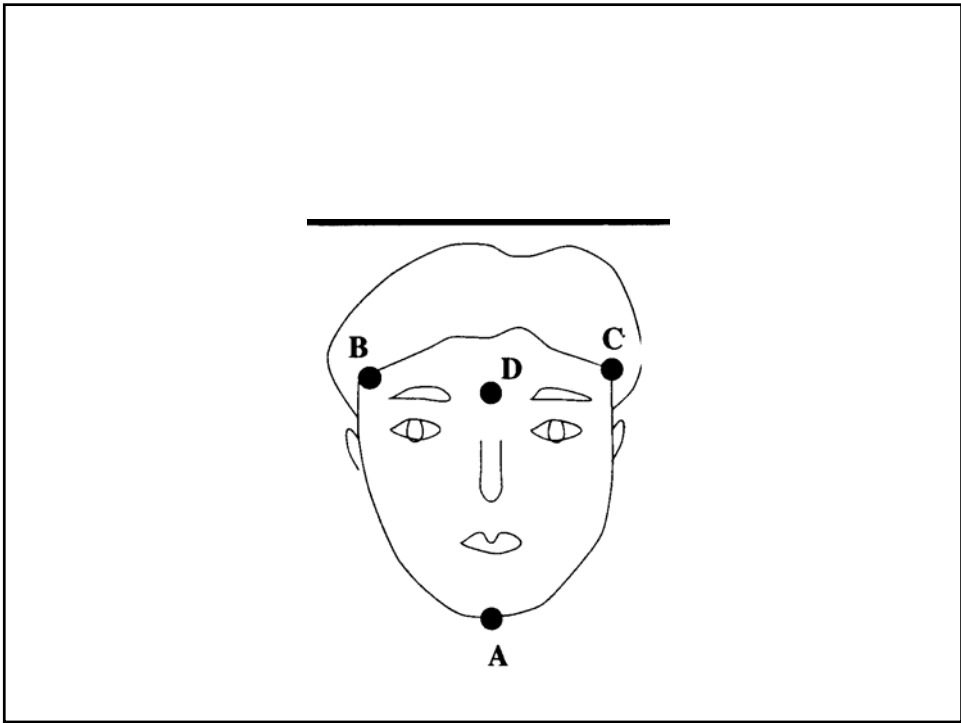
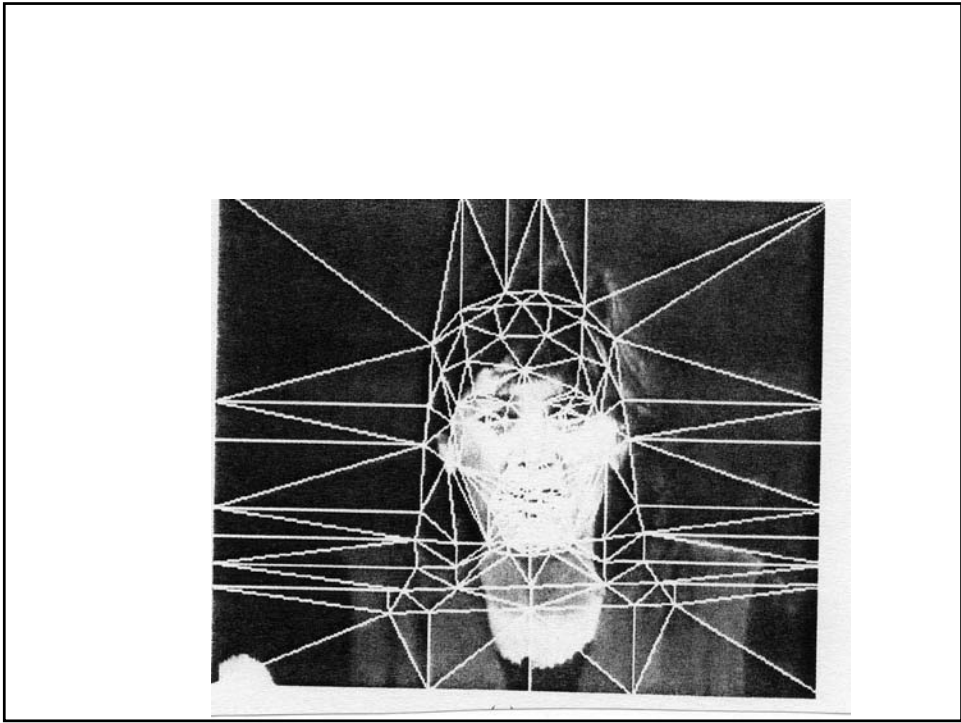
- Candide model has 108 nodes, 184 polygons.
- Candide is a generic head and shoulder model. It needs to be conformed to a particular person's face.
- Cyberware scan gives head model consisting of 460,000 polygons.

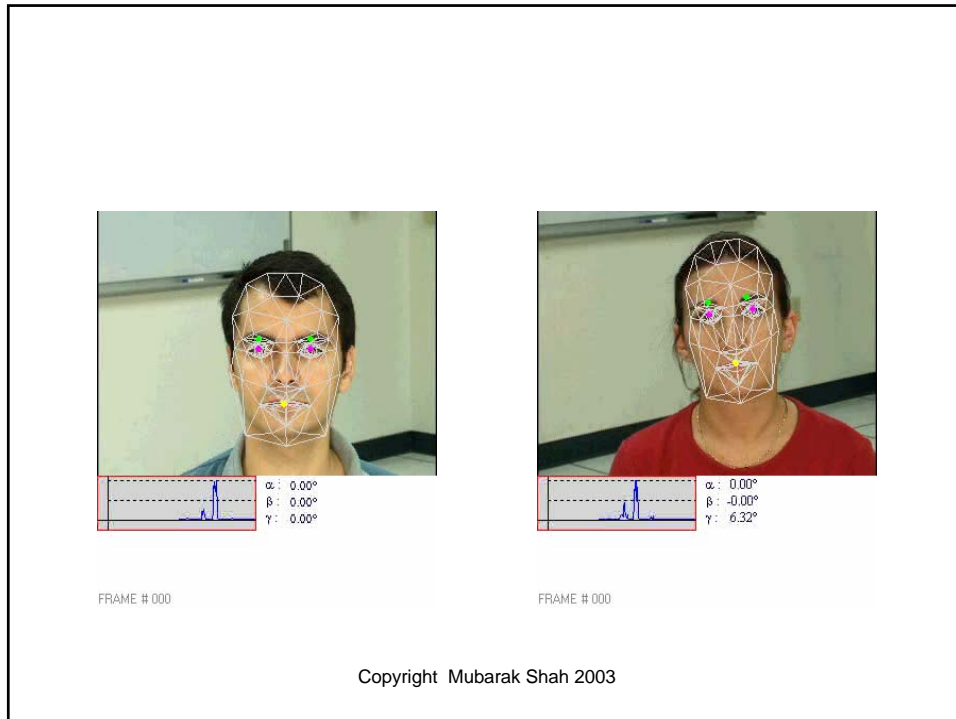
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## Wireframe Model Fitting

- Fit orthographic projection of wireframe to the frontal view of speaker using Affine transformation.
  - Locate three to four features in the image and the projection of a model.
  - Find parameters of Affine transformation using least squares fit.
  - Apply Affine to all vertices, and scale  $\frac{\sqrt{a_1^2 + a_2^2}}{2}$  depth.

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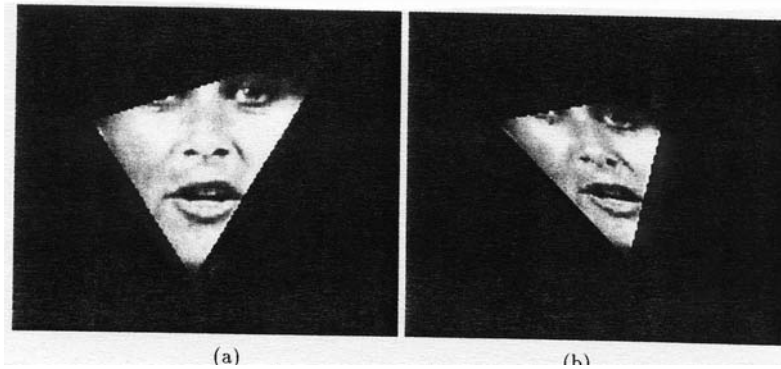


## Synthesis

- Collapse initial wire frame onto the image to obtain a collection of triangles.
- Map observed texture in the first frame into respective triangles.
- Rotate and translate the initial wire frame according to global and local motion, and collapse onto the next frame.
- Map texture within each triangle from first frame to the next frame by interpolation.

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# Texture Mapping



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# Video Phones

## Motion Estimation

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## Perspective Projection (optical flow)

$$u = f\left(\frac{V_1}{Z} + \Omega_2\right) - \frac{V_3}{Z}x - \Omega_3y - \frac{\Omega_1}{f}xy + \frac{\Omega_2}{f}x^2$$

$$v = f\left(\frac{V_2}{Z} - \Omega_1\right) + \Omega_3x - \frac{V_3}{Z}y + \frac{\Omega_2}{f}xy - \frac{\Omega_1}{f}y^2$$

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## Optical Flow Constraint Eq

$$f_x u + f_y v + f_t = 0$$

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$$\begin{aligned}
& f_x \left( f \left( \frac{V_1}{Z} + \Omega_2 \right) - \frac{V_3}{Z} x - \Omega_3 y - \frac{\Omega_1}{f} xy + \frac{\Omega_2}{f} x^2 \right) + f_y \\
& \left( f \left( \frac{V_2}{Z} - \Omega_1 \right) + \Omega_3 x - \frac{V_3}{Z} y + \frac{\Omega_2}{f} xy - \frac{\Omega_1}{f} y^2 \right) + f_t = 0 \\
& \left( f_x \frac{f}{Z} \right) V_1 + \left( f_y \frac{f}{Z} \right) V_2 + \left( \frac{f}{Z} (f_x x - f_y y) \right) V_3 + \\
& \left( -f_x \frac{xy}{f} + f_y \frac{y^2}{f} - f_y f \right) \Omega_1 + \left( f_x f + f_x \frac{x^2}{f} + f_y \frac{xy}{f} \right) \Omega_2 + \\
& (f_x y + f_y x) \Omega_3 = -f_t
\end{aligned}$$

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$$\begin{aligned}
& \left( f_x \frac{f}{Z} \right) V_1 + \left( f_y \frac{f}{Z} \right) V_2 + \left( \frac{f}{Z} (f_x x - f_y y) \right) V_3 + \\
& \left( -f_x \frac{xy}{f} + f_y \frac{y^2}{f} - f_y f \right) \Omega_1 + \left( f_x f + f_x \frac{x^2}{f} + f_y \frac{xy}{f} \right) \Omega_2 + \\
& (f_x y + f_y x) \Omega_3 = -f_t
\end{aligned}$$

**$\mathbf{Ax} = \mathbf{b}$**  Solve by Least Squares

$$\mathbf{x} = (V_1, V_2, V_3, \Omega_1, \Omega_2, \Omega_3)$$

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$$\mathbf{Ax} = \mathbf{b}$$

$$\begin{bmatrix} (f_x \frac{f}{Z}) & (f_y \frac{f}{Z}) & (\frac{f}{Z}(f_x x - f_y y)) & (-f_x \frac{xy}{f} + f_y \frac{y^2}{f} - f_y f) & (f_x f + f_x \frac{x^2}{f} + f_y \frac{xy}{f}) & (f_x y + f_y x) \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{bmatrix} \begin{bmatrix} V_1 \\ V_2 \\ V_3 \\ \Omega_1 \\ \Omega_2 \\ \Omega_3 \end{bmatrix} = \begin{bmatrix} \vdots \\ \vdots \\ \vdots \end{bmatrix}$$

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## Comments

- This is a simpler (linear) problem than sfm because depth is assumed to be known.
- Since no optical flow is computed, this is called “direct method”.
- Only spatiotemporal derivatives are computed from the images.

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## Problem

- We have used 3D rigid motion, but face is not purely rigid!
- Facial expressions produce non-rigid motion.
- Use global rigid motion and non-rigid deformations.

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## 3-D Rigid Motion

$$\mathbf{X}' = \mathbf{R}\mathbf{X} + \mathbf{T}$$

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \begin{bmatrix} 1 & -\alpha & \beta \\ \alpha & 1 & -\gamma \\ -\beta & \gamma & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_x \\ T_y \\ T_z \end{bmatrix}$$

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \left( \begin{bmatrix} 0 & -\alpha & \beta \\ \alpha & 0 & -\gamma \\ -\beta & \gamma & 0 \end{bmatrix} + \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \right) \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_x \\ T_y \\ T_z \end{bmatrix}$$

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## 3-D Rigid Motion

$$\begin{bmatrix} X' - X \\ Y' - Y \\ Z' - Z \end{bmatrix} = \begin{bmatrix} 0 & -\alpha & \beta \\ \alpha & 0 & -\gamma \\ -\beta & \gamma & 0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X \\ T_Y \\ T_Z \end{bmatrix}$$

$$\begin{bmatrix} \dot{X} \\ \dot{Y} \\ \dot{Z} \end{bmatrix} = \begin{bmatrix} 0 & -\Omega_3 & \Omega_2 \\ \Omega_3 & 0 & -\Omega_1 \\ -\Omega_2 & \Omega_1 & 0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X \\ T_Y \\ T_Z \end{bmatrix}$$

$$\dot{\mathbf{X}} = \boldsymbol{\Omega} \times \mathbf{X} + \mathbf{V}$$

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## 3-D Rigid+Non-rigid Motion

$$\mathbf{X}' = \mathbf{R}\mathbf{X} + \mathbf{T} + \mathbf{E}(\mathbf{X})\Phi$$

Facial expressions

Action Units:

- opening of a mouth
- closing of eyes
- raising of eyebrows

$$\Phi = (\phi_1, \phi_2, \dots, \phi_m)^T$$

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## 3-D Rigid+Non-rigid Motion

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \begin{bmatrix} 1 & -\alpha & \beta \\ \alpha & 1 & -\gamma \\ -\beta & \gamma & 1 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X + \sum_{i=1}^m E_{1i}(\mathbf{X})\phi_i \\ T_Y + \sum_{i=1}^m E_{2i}(\mathbf{X})\phi_i \\ T_Z + \sum_{i=1}^m E_{3i}(\mathbf{X})\phi_i \end{bmatrix}$$

$$\begin{bmatrix} X' \\ Y' \\ Z' \end{bmatrix} = \begin{bmatrix} 0 & -\alpha & \beta \\ \alpha & 0 & -\gamma \\ -\beta & \gamma & 0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X + \sum_{i=1}^m E_{1i}(\mathbf{X})\phi_i \\ T_Y + \sum_{i=1}^m E_{2i}(\mathbf{X})\phi_i \\ T_Z + \sum_{i=1}^m E_{3i}(\mathbf{X})\phi_i \end{bmatrix}$$

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## 3-D Rigid+Non-rigid Motion

$$\begin{bmatrix} X' - X \\ Y' - Y \\ Z' - Z \end{bmatrix} = \begin{bmatrix} 0 & -\alpha & \beta \\ \alpha & 0 & -\gamma \\ -\beta & \gamma & 0 \end{bmatrix} \begin{bmatrix} X \\ Y \\ Z \end{bmatrix} + \begin{bmatrix} T_X + \sum_{i=1}^m E_{1i}(\mathbf{X})\phi_i \\ T_Y + \sum_{i=1}^m E_{2i}(\mathbf{X})\phi_i \\ T_Z + \sum_{i=1}^m E_{3i}(\mathbf{X})\phi_i \end{bmatrix}$$

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## 3-D Rigid+Non-rigid Motion

$$\dot{X} = -\Omega_3 Y + \Omega_2 Z + V_1 + \sum_{i=1}^m E_{1i} \phi_i$$

$$\dot{Y} = \Omega_3 X - \Omega_1 Z + V_2 + \sum_{i=1}^m E_{2i} \phi_i$$

$$\dot{Z} = -\Omega_2 X + \Omega_1 Y + V_3 + \sum_{i=1}^m E_{3i} \phi_i$$

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## Perspective Projection (arbitrary flow)

$$x = \frac{fX}{Z}$$

$$y = \frac{fY}{Z}$$

$$u = \dot{x} = \frac{fZ\dot{X} - fX\dot{Z}}{Z^2} = f \frac{\dot{X}}{Z} - x \frac{\dot{Z}}{Z}$$

$$v = \dot{y} = \frac{fZ\dot{Y} - fY\dot{Z}}{Z^2} = f \frac{\dot{Y}}{Z} - y \frac{\dot{Z}}{Z}$$

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## Perspective Projection (arbitrary flow)

$$\dot{X} = -\Omega_3 Y + \Omega_2 Z + V_1 + \sum_{i=1}^m E_{1i} \phi_i \quad u = \dot{x} = \frac{fZ\dot{X} - fX\dot{Z}}{Z^2} = f \frac{\dot{X}}{Z} - x \frac{\dot{Z}}{Z}$$

$$\dot{Y} = \Omega_3 X - \Omega_1 Z + V_2 + \sum_{i=1}^m E_{2i} \phi_i \quad v = \dot{y} = \frac{fZ\dot{Y} - fY\dot{Z}}{Z^2} = f \frac{\dot{Y}}{Z} - y \frac{\dot{Z}}{Z}$$

$$\dot{Z} = -\Omega_2 X + \Omega_1 Z + V_3 + \sum_{i=1}^m E_{3i} \phi_i$$

$$u = f \left( \frac{V_1 + \sum_{i=1}^m E_{1i} \phi_i}{Z} + \Omega_2 \right) - \frac{V_3 + \sum_{i=1}^m E_{3i} \phi_i}{Z} x - \Omega_3 y - \frac{\Omega_1}{f} x + \frac{\Omega_2}{f} x^2$$

$$v = f \left( \frac{V_2 + \sum_{i=1}^m E_{2i} \phi_i}{Z} - \Omega_1 \right) + \Omega_3 x - \frac{V_3 + \sum_{i=1}^m E_{3i} \phi_i}{Z} y + \frac{\Omega_2}{f} xy - \frac{\Omega_1}{f} y$$

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## Optical Flow Constraint Eq

$$f_x u + f_y v + f_t = 0$$

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$$\mathbf{Ax} = \mathbf{b}$$

$$\mathbf{x} = (V_1, V_2, V_3, \Omega_1, \Omega_2, \Omega_3, \phi_1, \phi_2, \dots, \phi_m)$$

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# Estimation Using Flexible Wireframe Model

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## Main Points

- Model photometric effects
- Simultaneously compute 3D motion and adapt the wireframe model.

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## Generalized Optical Flow Constraint

$$f(x, y, t) = \rho N(t).L$$

Lambertian Model

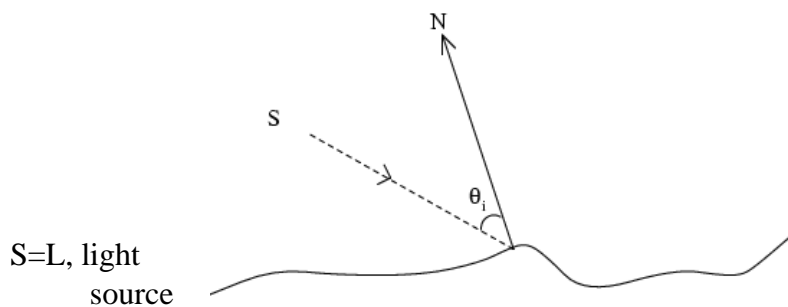
$$\frac{df(x, y, t)}{dt} = \rho L \cdot \frac{dN}{dt}$$

Albedo  
Surface Normal  
(-p, -q, 1)

$$f_x u + f_y v + f_t = \rho L \cdot \frac{dN}{dt}$$

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## Lambertian Model



$$f(x, y) = n.L = (n_x, n_y, n_z) \cdot (l_x, l_y, l_z)$$

$$f(x, y) = n.L = \left( \frac{1}{\sqrt{p^2 + q^2 + 1}} (-p, -q, 1) \right) \cdot (l_x, l_y, l_z)$$

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# Sphere

$$z = \sqrt{(R^2 - x^2 - y^2)}$$

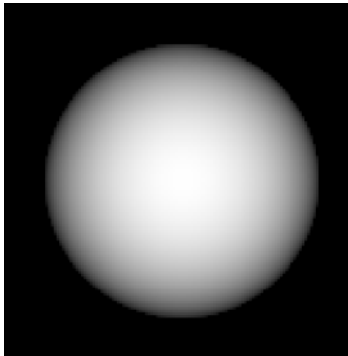
$$p = \frac{\partial z}{\partial x} = -\frac{x}{z}$$

$$q = \frac{\partial z}{\partial y} = -\frac{y}{z}$$

$$(n_x, n_y, n_z) = \frac{1}{R}(x, y, z)$$

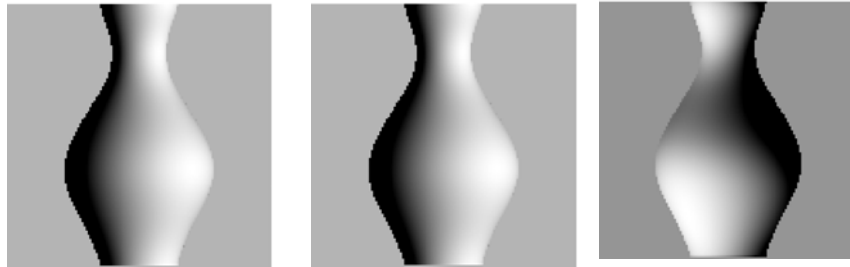
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# Sphere



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# Vase



(1, 0, 1)

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(-1, 1, 1)

(-1, -1, 1)

## Orthographic Projection

$$u = \dot{x} = \Omega_2 Z - \Omega_3 y + V_1$$

(u,v) is optical flow

$$v = \dot{y} = \Omega_3 x - \Omega_1 Z + V_2$$

$$\dot{\mathbf{X}} = \boldsymbol{\Omega} \times \mathbf{X} + \mathbf{V}$$

$$\dot{X} = \Omega_2 Z - \Omega_3 Y + V_1$$

$$\dot{Y} = \Omega_3 X - \Omega_1 Z + V_2$$

$$\dot{Z} = \Omega_1 Y - \Omega_2 X + V_3$$

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## Optical flow equation

$$f_x(\Omega_2 Z - \Omega_3 y + V_1) + f_y(\Omega_3 x - \Omega_1 Z + V_2) + f_t = \rho L \cdot \frac{dN}{dt}$$

$$f_x(\Omega_2 Z - \Omega_3 y + V_1) + f_y(\Omega_3 x - \Omega_1 Z + V_2) + f_t =$$

$$\rho L \cdot \left[ \frac{(-p', -q', 1)^T}{\sqrt{p'^2 + q'^2 + 1}} - \frac{(-p, -q, 1)^T}{\sqrt{p^2 + q^2 + 1}} \right]$$

Homework 4.1  
Show this.

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## Error Function

$$E = \sum_i \sum_{(x,y) \in \text{ithpatch}} e_i^2$$

$$f_x(\Omega_2 Z - \Omega_3 y + V_1) + f_y(\Omega_3 x - \Omega_1 Z + V_2) + f_t = \rho L \cdot \left[ \frac{(-p', -q', 1)^T}{\sqrt{p'^2 + q'^2 + 1}} - \frac{(-p, -q, 1)^T}{\sqrt{p^2 + q^2 + 1}} \right]$$

$$p_i x_1^{(ij)} + q_i x_2^{(ij)} + c_i = p_j x_1^{(ij)} + q_j x_2^{(ij)} + c_j$$

$$e_i(x, y) = f_x(\Omega_3 y - \Omega_2(p_i x + q_1 y + c_i) + V_1) + f_y(-\Omega_3 x + \Omega_1(p_i x + q_1 y + c_i) + V_2) + f_t \quad \text{constraint}$$

$$- \rho(L_1, L_2, L_3) \cdot \left( \frac{-\Omega_2 + p_i}{1 + \Omega_2 p_i}, \frac{-\Omega_1 + q_i}{1 - \Omega_1 q_i} \right) - \left( \left( \frac{-\Omega_2 + p_i}{1 + \Omega_2 p_i} \right)^2 + \left( \frac{-\Omega_1 + q_i}{1 - \Omega_1 q_i} \right)^2 + 1 \right)^{1/2}$$

$$\frac{(-p_i, -q_i, 1)}{(p_i^2 + q_i^2 + 1)^{1/2}}$$

Homework 4.3  
Show this.

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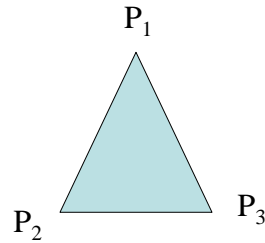
## Equation of a Planar Patch

$$P_1^{(i)} = (X_1^{(i)}, Y_1^{(i)}, Z_1^{(i)})$$

$$P_2^{(i)} = (X_2^{(i)}, Y_2^{(i)}, Z_2^{(i)})$$

$$P_3^{(i)} = (X_3^{(i)}, Y_3^{(i)}, Z_3^{(i)})$$

$$P^{(i)} = (X^{(i)}, Y^{(i)}, Z^{(i)})$$



$$\overline{P^{(i)} P_1^{(i)}} \cdot \overline{(P_2^{(i)} P_1^{(i)} \times P_3^{(i)} P_1^{(i)})} = 0$$

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## Equation of a Planar Patch

$$Z^{(i)} = p_i X^{(i)} + q_i Y^{(i)} + c_i$$

Homework 4.2  
Show this.

$$p_i = -\frac{(Y_2^{(i)} - Y_1^{(i)})(Z_3^{(i)} - Z_1^{(i)}) - (Z_2^{(i)} - Z_1^{(i)})(Y_3^{(i)} - Y_1^{(i)})}{(X_2^{(i)} - X_1^{(i)})(Y_3^{(i)} - Y_1^{(i)}) - (Y_2^{(i)} - Y_1^{(i)})(X_3^{(i)} - X_1^{(i)})}$$

$$q_i = -\frac{(Z_2^{(i)} - Z_1^{(i)})(X_3^{(i)} - X_1^{(i)}) - (X_2^{(i)} - X_1^{(i)})(Z_3^{(i)} - Z_1^{(i)})}{(X_2^{(i)} - X_1^{(i)})(Y_3^{(i)} - Y_1^{(i)}) - (Y_2^{(i)} - Y_1^{(i)})(X_3^{(i)} - X_1^{(i)})}$$

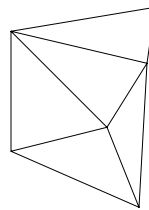
$$c_i = Z_1^{(i)} + X_1^{(i)} \frac{(Y_2^{(i)} - Y_1^{(i)})(Z_3^{(i)} - Z_1^{(i)}) - (Z_2^{(i)} - Z_1^{(i)})(Y_3^{(i)} - Y_1^{(i)})}{(X_2^{(i)} - X_1^{(i)})(Y_3^{(i)} - Y_1^{(i)}) - (Y_2^{(i)} - Y_1^{(i)})(X_3^{(i)} - X_1^{(i)})} +$$

$$Y_1^{(i)} \frac{(Z_2^{(i)} - Z_1^{(i)})(X_3^{(i)} - X_1^{(i)}) - (X_2^{(i)} - X_1^{(i)})(Z_3^{(i)} - Z_1^{(i)})}{(X_2^{(i)} - X_1^{(i)})(Y_3^{(i)} - Y_1^{(i)}) - (Y_2^{(i)} - Y_1^{(i)})(X_3^{(i)} - X_1^{(i)})}$$

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## Structure of Wireframe Model

- Each triangular patch is either surrounded by two (if it is on the boundary of the wireframe) or three other triangles.



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Neighboring patches must intersect at a straight line.

$$Z^{(i)} = p_i X^{(i)} + q_i Y^{(i)} + c_i$$

$$p_i x^{(ij)} + q_i y^{(ij)} + c_i = p_j x^{(ij)} + q_j y^{(ij)} + c_j$$

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## Main Points of Algorithm

- Stochastic relaxation.
- In each iteration visit all patches in a sequential order.
  - If, at present iteration none of neighboring patches of  $i$  have been visited yet, then  $p_i, q_i, c_i$  are all independently perturbed.
  - If, only one of the neighbor,  $j$ , has been visited, then two parameters, say  $p_i, q_i$  are independent and perturbed. The dependent variable  $c_i$  is calculated from the equation:
 
$$c_i = p_j x^{(ij)} + q_j y^{(ij)} + c_j - p_i x^{(ij)} - q_i y^{(ij)}$$

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## Main Points of Algorithm

- If two of the neighboring patches, say  $j$  and  $k$ , have already been visited, i.e., the variables  $p_k, q_k, c_{ik}$  and  $p_j, q_j, c_j$  have been updated, then only one variable  $p_i$  is independent, and is perturbed.  $q_i, c_i$  can be evaluated as

$$c_i = p_j x^{(ij)} + q_j y^{(ij)} + c_j - p_i x^{(ij)} - q_i y^{(ij)}$$

$$q_i = \frac{p_k x^{(ik)} + q_k y^{(ik)} + c_k - p_i x^{(ik)} - c_i}{y^{(ik)}}$$

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## Main Points of Algorithm

- The perturbation of structure parameters (surface normal) for each patch, results in the change of coordinates (X,Y,Z) of the nodes of wireframe.

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## Updating of (X,Y,Z):

Patches i, j, k intersect at node n.

$$p_i X^{(n)} + q_i Y^{(n)} + c_i = p_j X^{(n)} + q_j Y^{(n)} + c_j$$

$$p_i X^{(n)} + q_i Y^{(n)} + c_i = p_k X^{(n)} + q_k Y^{(n)} + c_k$$

$$\begin{bmatrix} X^{(n)} \\ Y^{(n)} \end{bmatrix} = \begin{bmatrix} p_i - p_j & q_i - q_j \\ p_i - p_k & q_i - q_k \end{bmatrix}^{-1} \begin{bmatrix} c_j - c_i \\ -c_i + c_k \end{bmatrix}$$

$$Z^{(i)} = p_i X^{(i)} + q_i Y^{(i)} + c_i$$

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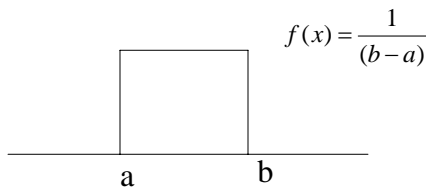
# Algorithm

- Estimate light source direction
- Initialize coordinates of all nodes using approximately scaled wireframe model. Determine initial values of surface normals for each triangle.
- Determine initial motion parameters based on selected feature correspondences and their depth values from wireframe model. (Assume motion parameters.)
- (A) Compute the value of error function E.

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- If error E is less than some threshold, then stop
- Else
  - Perturb motion parameters (3 rotations and 2 translations) by random amount (zero mean Gaussian, s.d. equal to error E) (you can use uniform distribution)
  - Perturb structure parameters (p,q,c):
    - Perturb p, q, and c of first patch by adding random amount (zero mean Gaussian, s.d. equal to error E)
    - Increment count for all neighbors of patch-1 by 1

# Uniform Distribution



$$\bar{X} = \text{mean} = \frac{(a+b)}{2}$$

$$\sigma^2 = \text{variance} = \frac{(a-b)^2}{12}$$

Use rand() in C  
to generate random  
number between a range.

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- For patch 2 to n
  - If the count==1
    - » Perturb p and q
    - » Compute c using equation for  $c_i$
    - » Increment the count

$$c_i = p_j x^{(ij)} + q_j y^{(ij)} + c_j - p_i x^{(ij)} - q_i y^{(ij)}$$

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- If count==2

» Perturb  $p_i$

» Compute  $c_i$  and  $q_i$  using equations

$$c_i = p_j x^{(ij)} + q_j y^{(ij)} + c_j - p_i x^{(ij)} - q_i y^{(ij)}$$

$$q_i = \frac{p_k x^{(ik)} + q_k y^{(ik)} + c_k - p_i x^{(ik)} - c_i}{y^{(ik)}}$$

» Increment the count

- If  $p$ ,  $q$  and  $c$  for at least three patches intersecting at node are updated, then update the coordinates of the node using

equation.

$$\begin{bmatrix} X^{(n)} \\ Y^{(n)} \end{bmatrix} = \begin{bmatrix} p_i - p_j & p_j - p_k \\ q_i - q_j & q_j - q_k \end{bmatrix}^{-1} \begin{bmatrix} c_j - c_i \\ -c_j + c_k \end{bmatrix}$$
$$Z^{(i)} = p_i X^{(i)} + q_i Y^{(i)} + c_i$$

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• Go to step (A)