

The arithmetic coders used in JPEG, JPEG 2000 and JBIG are called QM-coder<sup>1</sup>. It handles only binary strings or input and it is designed for simplicity and speed. It uses approximation for multiplication operation, fixed-precision integer arithmetic with renormalization of the probability interval from time to time.

The main idea of the QM-coder is to classify the input bit as **More Probable Symbol (MPS)** and **Less Probable Symbol (LPS)**. Before the next bit is input, the QM-coder uses a statistical model (using a context, typically a two-dimensional context of black and white pixel in an image) to predict which one of the bits (0 or 1) will be the *MPS*. If the predicted *MPS* bit does not match with the actual bit, then the QM-coder will classify this as *LPS*; otherwise, it will continue to be classified as *MPS*. The output of the coder is simply a compressed version of a stream of *MPS* or *LPS*, which are assigned probability values dynamically. The decoder has only the knowledge of whether the next predicted bit is *MPS* or *LPS*. It uses the same statistical model as that of the encoder to obtain the actual values of the bit. Recall the range update equations we used for arithmetic coding: Let  $L$  and  $H$  denote the current 'low' and 'high', respectively, and current 'range'  $A=H-L$ . Let the current incoming symbol be  $a_i$ , its probability be  $p(a_i)$  and its cumulative low and high probability be  $P(a_{i-1})$  and  $P(a_i)$ , respectively, then the new low and new high become

$$L := L + (H - L) * P(a_{i-1})$$

$$H := L + (H - L) * P(a_i)$$

Then the new range becomes ( by subtracting new  $L$  from new  $H$ )

$$A := A [P(a_i) - P(a_{i-1})] = A * p(a_i)$$

Let us assign a probability  $Q$  to *LPS* and assign the lower interval to *MPS* with

<sup>1</sup> There is an error in the book [Data Compression: The Complete Reference, 2nd Edition]: Page 121, Table 2.64: The renormalized values of C are wrong. The correct table is:

Symbol	C	A	Renor. A	Renor. C
Initially	0	1		
S1 (LPS)	$0+1-0.1=0.9$	0.1	0.8	$0.9*2^3=7.2$
s2 (MPS)	unchanged 7.2	$0.8-0.1=0.7$	1.4	$7.2*2=14.4$
s3 (LPS)	$14.4+1.4=0.1=15.7$	0.1	0.8	$15.7*2^3=125.6$
s4 (MPS)	unchanged 125.6	$0.8-0.1=0.7$	1.4	$125.6*2=251.2$

Table 2.64 Renormalization Added to Table 2.61.

-----From <http://www.davidsalomon.name/DC2advertis/DC2errata.html>

Symbol	Probability	Range
LPS	Q	[1-Q,1]
MPS	1-Q	[0,1-Q]

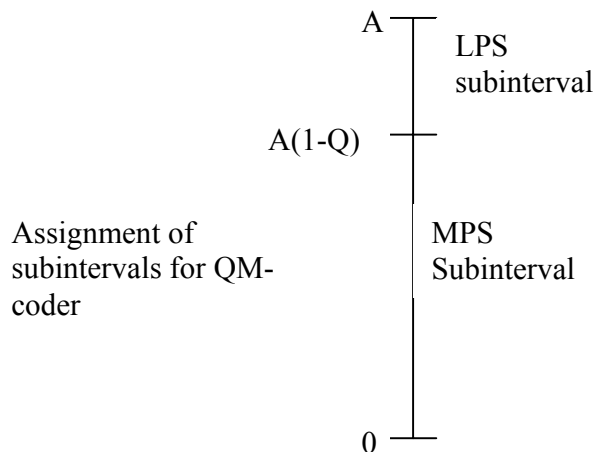
The value of  $Q$  is calculated dynamically using a statistical model. The output is simply a fractional value  $C$  indicating the state of the encoder, more specifically, the lower bound of the new sub-interval. If the next symbol is classified as MPS, the lower bound of the new interval remains unchanged, so  $C$  is unchanged. However, if the next symbol is LPS, the lower bound of the new sub-interval will be leveraged by the value of  $A(1-Q)$ , so  $C$  is updated as  $C+A(1-Q)$ .

The encoder executes the following code:

```

When MPS is encoded
    begin
        C is unchanged;
        A := A (1 - Q)
    End
When LPS is encoded
    begin
        C := C+A (1 - Q);
        A := AQ
    End

```



Example:

Assume  $Q=0.5$ . Input: ( LPS, MPS, LPS, MPS). Initially,  $C=0$  and  $A=1$ .

Step1: <i>LPS</i> : $C=0+1(1-0.5)=0.5$	$A= 1*0.5= 0.5$
Step2: <i>MPS</i> : $C=0.5$	$A= 0.5(1-0.5) = 0.25$
Step3: <i>LPS</i> : $C=0.5+0.25(1-0.5)=0.625$	$A=0.25*0.5= 0.125$
Step4: <i>MPS</i> : $C=0.625$	$A=0.125(1-0.5) = 0.0625$

Note, the range continues to shrink and the value of  $C$  gets bigger with longer input sequence.

The QM-decoder is just the reverse of the QM-encoder. We begin with initial value of  $A = 1$ . The dividing line is  $A(1-Q)=1(1-0.5)=0.5$ . So, initially the (*MPS,LPS*) subintervals are  $[0,0.5)$  and  $[0.5,1)$ , respectively.

Step1:  $C=0.625$  falls in upper subinterval. So, an *LPS* is decoded. The new  $C=0.625-1(1-0.5)=0.125$  and new  $A=1*0.5=0.5$ .

Step2:  $C=0.125, A=0.5$ . The dividing line is  $0.5(1-0.5) = 0.25$ . The (*MPS,LPS*) subintervals are  $[0, 0.25)$  and  $[0.25,0.5)$ , respectively. So, a *MPS* is decoded. The value of  $C$  remains unchanged and new  $A= 0.5(1-0.05)=0.25$ .

Step3:  $C=0.125, A=0.25$ . The dividing line is  $0.25(1-0.5) = 0.125$ . The (*MPS,LPS*) subintervals are  $[0, 0.125)$  and  $[0.125,0.25)$ , respectively. So, a *LPS* is decoded. The new  $C=0.125-0.25(1-0.5)=0$  and new  $A=0.25*0.5=0.125$ .

Step4:  $C=0, A=0.125$ . The dividing line is  $0.125(1-0.5) = 0.0625$ . The (*MPS,LPS*) subintervals are  $[0, 0.0625)$  and  $[0.0625,0.125)$ , respectively. So, a *MPS* is decoded. The value of  $C$  remains unchanged and new  $A= 0.125(1-0.05)=0.0625$ .

The decoding algorithm can be written as

```

Input  $C, A=1$  and  $Q$ ;
Begin
     $X:= A*(1-Q)$ ;
     $MPS\_interval=[0, X)$ ;
     $LPS\_interval= [X,A)$ ;
    If  $C$  is in  $MPS\_interval$  then
        { Output MPS symbol;
           $A:=A*(1- Q)$  }
    else
        { Output LPS symbol;
           $C:=C- A*(1- Q)$ ;
           $A:=A* Q$  }
    endif;

```

**end**

If we take  $Q_e = 0.1$ , with the same input, the final value of  $C$  will be 0.981 and that of  $A$  0.0081. If  $A$  gets too small, the precision of the machine will not be able to handle the fraction. The proposed remedy is to *renormalize* by doubling  $A$  and  $C$  (in effect, left shifting the binary integer by one bit representing the multiplication by 2). So, we need some threshold  $t$  such that when  $A$  is less than  $t$ , then  $A$  should be doubled. Furthermore, both  $A$  and  $2A$  should be close to 1.0. The mathematical representation for this requirement is:

**Find  $t > 0.5$  such that we have  $\min(\max(1-t, 2t-1))$**

If  $t$  has the value of 0.9, then  $\max(1-t, 2t-1) = 0.8$ ; if  $t$  has the value of 0.6, then  $\max(1-t, 2t-1) = 0.4$ . The solution to this problem is  $t = 0.75$ , and  $\max(1-t, 2t-1) = 0.25$ .

The other problem is to compute the new value of the range  $A$  which needs multiplication. The JBIG committee recommended that the multiplication could be avoided by approximating the value of  $A$  to be close to 1, that is,  $A(1 - Q_e)$  is approximated by  $(A - Q_e)$  and  $AQ_e$  by  $Q_e$ . With the optimal threshold  $t = 0.75$ , the value of  $A$  is always maintained between  $[0.75, 1.5)$ .

In order not to violate the assumption that  $A$  is close to 1, whenever  $A$  goes below 0.75 the QM coder goes through a series of rescaling until the value of  $A$  goes higher than 0.75. The rescaling operation is simply doubling which corresponds to a left shift if  $A$  is represented in binary. The same rescaling must be applied to  $C$  by doubling its value. Rescaling happens every time *LPS* occurs. For  $A$ , the rescaling occurs only if its value dips below 0.75. The modified encoder algorithm is:

```
When MPS is encoded  
  begin  
    C is unchanged;  
    A := A - Qe;  
    If (A < 0.75) then  
      Renormalize A and C;  
    Endif;  
  End  
When LPS is encoded  
  begin  
    C := C + (A - Qe);  
    A := Qe;  
    Renormalize A and C;  
  End
```

In the aforementioned pseudo-code, when LPS is encoded, A is updated as  $Q_e$ , which is in practice always less than 0.5. So the condition of the re-normalization  $A < 0.75$  holds. Therefore re-normalization is always conducted when we have a LPS symbol.

One problem introduced by approximated re-normalization is that the subinterval allocated to the MPS may be smaller than the sub-interval allocated to the LPS. This is because we use  $A = A - Q_e$  to approximate  $A = A(1 - Q_e)$ . For example, suppose we have  $Q_e = 0.45$ , and we have four MPS in a row. Initially, we have  $C = 0$ ,  $A = 1$ . After first MPS, A becomes 0.55, and re-normalized to 1.1, while C remains 0. After second MPS, A becomes 0.65, and re-normalized to 1.3, while C remains 0. After third MPS, A becomes 0.85, and no re-normalization is performed. When we encode the fourth MPS, the sub-interval allocated to the MPS becomes  $A - Q_e = 0.40$ , while the sub-interval allocated to LPS is 0.45. Now, the sub-interval allocated to MPS is smaller than the LPS sub-interval. It can be seen that the condition for this situation is that  $Q_e > A / 2$ , or  $Q_e > A - Q_e$ . The solution is to perform *conditional exchange*: interchanging the two intervals under the afore-mentioned condition. Because conditional exchange is caused by the approximated re-normalization, the test for conditional exchange is only performed when re-normalization is needed.

The pseudo-code for the approximated re-normalization with conditional exchange is:

```

When MPS is encoded
  begin
    C is unchanged;
     $A := A - Q_e$ ;
    If ( $A < 0.75$ ) then
      If ( $A < Q_e$ ) then
         $C := C + A$ 
         $A = Q_e$ 
      Endif
      Renormalize A and C;
    Endif;
  End
When LPS is encoded
  begin
    If ( $A - Q_e \geq Q_e$ ) then
       $C := C + A - Q$ 
       $A = Q_e$ 
    Else
       $A = A - Q_e$ 
    Endif
    Renormalize A and C;
  End

```